Basic Housekeeping

- Webinar is being recorded and will be made available at a future date
- PowerPoint will be made available after the presentation at the same time of live recording
- Send your live questions to:

wcbedi@wcb.in.gov

Please use “Webinar Questions” in the Subject Line
What are we here for?

- Board Resources
- What is EDI?
- EDI workflow
- FROI vs SROI
- Hot Topics
- Review of some v1.4 and v1.5 changes
- Other Board Updates
- Live Q and A
1. Board Resources

- **www.in.gov/wcb** - best resource for information from the Board

- **News / Notices** – try to cover any major announcements.
  
  - To sign up for Board email notifications → top left corner

- **https://inwcbedi.info/** - resource exclusively for EDI 3.1 content
2. What is EDI?

Electronic Data Interchange is a process which allows one company to send information to another company electronically rather than with paper.

i.e. Trading partners send accident/claim data to jurisdiction
2A. EDI & INDIANA

- Indiana deployed new EDI reporting standards for FROI/SROI reporting 3/20/19.

- Indiana was a R1 FROI only state prior to implementing R3.1

- Indiana was the 3rd state to transition to R3.1

- There are 6 states currently on R3.1

- Indiana contracted with vendor ISO/Verisk to implement R3.1 and provide ongoing support and resources to the Board
3. EDI WORKFLOW

EDI workflow varies from insurer to insurer as well as for self-insured employers.
3A. EDI Workflow

Injury Reportable Under 22-3-4-13?

- No
  - No Further Action
- Yes
  - Employer Self-Insured?
    - No
      - Report Injury to Insurer/Claim Admin
      - Insurer Reports Injury to State
    - Yes
      - Self-Administered?
        - No
          - Report Injury to Insurer/Claim Admin
          - Insurer Reports Injury to State
        - Yes
          - Self-Insured Employer Reports Injury to State
3B. EDI WORKFLOW

Monday – Friday:

The cut-off for receipt of data from IN WCB Trading Partners will be **1:00 AM EST**. IN WCB Trading Partners will receive acknowledgment files no later than 5:00 AM EST pending any unforeseen processing issues.

Friday (after the cutoff), Saturday and/or Sunday:

Trading Partners can connect and upload FROI/SROI files and will receive acknowledgment files no later than **5:00 AM EST on Monday** pending any unforeseen processing issues.
3B. EDI WORKFLOW

Event Table – FROI/SROI tabs

These tables relate EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect legislative mandates and specifications relative to reporting requirements based on various criteria.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3.1</td>
<td>SROI</td>
<td>02</td>
<td>Change</td>
<td>2</td>
<td>3-20-19</td>
<td>R = 02 Change timeline: SROI/02 is due when a reportable change occurs on the Claim Administrator's database until Report Trigger Value is reached</td>
<td>B = 6 days after last accepted FN After No 02 after the FN</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

| 3.1     | SROI        | 04               | Denial     | 2              | 3-20-19        | W3 = deny entire claim after previously accepted FROI V4 = deny entire claim after any SROI has been accepted Claim is being denied and FROI has been accepted Claim is being denied entire claim after any SROI has been accepted | NOTE: If SROI 04 filed and no indemnity or medical has ever been paid, a SROI FN is permitted but is not required | 30 days    | C           | EE        | Indiana Code 22-3-7 | Form: 530044 |
4. FROI & SROI

**FROI = First Report of Injury**
- Due when the injury becomes reportable
- Date of Injury vs Date Employer Had Knowledge of Injury

**SROI = Subsequent Report of Injury**
- Due date varies dependent on the SROI being submitted and the SROIs previously submitted
4A. FROI

FROI = First Report of Injury

FROI is a group of transactions occurring in the early stages of workers' compensation claim processing that typically report the parties involved and describe the accident and resulting injuries.
When is the injury reportable?

Per IC 22-3-4-13 - “Within seven (7) days after the employer’s knowledge of the injury, either actual, alleged, or reported under IC 22-3-3-1, that causes an employee’s death or the need for medical care beyond first aid, a report concerning the injury shall be made…”

Date of Injury (DN0031) vs Date Employer Had Knowledge of Injury (DN0040)

An incident that does not require at least basic first aid is not a reportable injury in Indiana. As such, an employer cannot have knowledge of a reportable injury before basic first aid is administered.
Example:

John bumps his elbow on 5/15/19 and tells his ER of incident but does not receive any medical care. On 5/21/19, John goes to urgent care due to ongoing pain in the elbow. On 5/22/19, John informed ER that he went to urgent care.

Date of Injury (DN0031) – 5/15/19
Date Employer Had Knowledge of the Injury (DN0040) – 5/22/19
<table>
<thead>
<tr>
<th>MTC</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>00</td>
<td>Original</td>
</tr>
<tr>
<td>01</td>
<td>Cancel</td>
</tr>
<tr>
<td>02</td>
<td>Change</td>
</tr>
<tr>
<td>04</td>
<td>Denial</td>
</tr>
<tr>
<td>AQ</td>
<td>Acquired</td>
</tr>
<tr>
<td>AU</td>
<td>Acquired/Unallocated</td>
</tr>
<tr>
<td>UR</td>
<td>Update Report</td>
</tr>
</tbody>
</table>
4B. SROI

SROI = Subsequent Report of Injury

Based on IAIABC EDI Claims Release 3.1 standards, the SROI is a group of data elements for workers' compensation claim processing, that typically report the benefit, payment, return to work and closure data.
<table>
<thead>
<tr>
<th>MTC</th>
<th>Description</th>
<th>MTC</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>02</td>
<td>Change</td>
<td>FN</td>
<td>Final</td>
</tr>
<tr>
<td>04</td>
<td>Denial</td>
<td>IP</td>
<td>Initial Payment</td>
</tr>
<tr>
<td>AP</td>
<td>Acquired/Payment</td>
<td>NT</td>
<td>Narrative</td>
</tr>
<tr>
<td>CA</td>
<td>Change in Benefit Amount</td>
<td>PD</td>
<td>Partial Denial</td>
</tr>
<tr>
<td>CB</td>
<td>Change in Benefit Type</td>
<td>PY</td>
<td>Payment Report</td>
</tr>
<tr>
<td>CD</td>
<td>Compensable Death – No Known</td>
<td>RB</td>
<td>Reinstatement of Benefits</td>
</tr>
<tr>
<td></td>
<td>Dependents/Payees</td>
<td>SX</td>
<td>Full Suspension</td>
</tr>
<tr>
<td>EP</td>
<td>Employer Paid</td>
<td>UI</td>
<td>Under Investigation</td>
</tr>
<tr>
<td>ER</td>
<td>Employer Reinstatement</td>
<td>UR</td>
<td>Update Report</td>
</tr>
</tbody>
</table>
5. **HOT TOPICS**

- Med Only Claims and FN filings
- Late Reason Reporting Code
- 0% PPI
  
  Required if # of Permanent Impairments (DN0078) > 0
  OR Benefit Type Code (DN0085) = 030 Permanent Partial Scheduled
- SSN and Name Matching
- Forms
- Error Resolution - Example
  - Edit Matrix – DN Error Message
- Legacy Claims \(\rightarrow\) UR vs 00/04
5a. HOT TOPICS – SSN & NAME MATCHING

- Indiana has a legacy data issue that allows only 1 record for every SSN
- FROI 00/04 is submitted WCB vendor checks against the WCB’s master SSN list
- If the name submitted does not match Indiana’s records, insurer will get a TR showing what Indiana has on record

Example: WCB has Michael Smith. FROI 00 has Mike Smith

Option 1: Change the name to match what WCB has

1A (optional): send a FROI 02 change after a FROI 00 has been issue to correct the name

Option 2: Email WCBEDI@wcb.in.gov with requested change

- Common issue for those that change names (marriage or divorce) also for Jr./Sr./II/III
## 5B. Hot Topics – Error Resolution – Step by Step

### Table: IAIABC Data Element Name

<table>
<thead>
<tr>
<th>DN</th>
<th>IAIABC Data Element Name</th>
<th>Screen</th>
<th>Element</th>
<th>Error Num</th>
</tr>
</thead>
<tbody>
<tr>
<td>0104</td>
<td>Test/Production Code</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0105</td>
<td>Interchange Version ID</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0106</td>
<td>Detail Record Count</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0113</td>
<td>Accident Site County/Parish</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0119</td>
<td>Accident Site Location Narrative</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **Sorted by Error Message & DN**
- **Relaxed requirement edits (err msg. 011 and 103)**
- **Population Restrictions Indicator**
- **Mandatory field not present**
- **Days must be 0-6**
- **All digits must be 0-8**
- **Must be A-Z, 0-9, or spaces**

**Edit matrix DN Error message Tab**
<table>
<thead>
<tr>
<th>IAABC Data Element Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Relaxed requirement edits (err msg 001 and 108)</td>
<td></td>
</tr>
<tr>
<td>Jurisdiction will apply edits?</td>
<td></td>
</tr>
<tr>
<td>Population Restrictions Indicator</td>
<td></td>
</tr>
<tr>
<td>Must be &gt;=Employee Date of Hire</td>
<td></td>
</tr>
<tr>
<td>Must be &gt;= Jurisdiction Implementation Date</td>
<td></td>
</tr>
<tr>
<td>Must be valid content</td>
<td></td>
</tr>
<tr>
<td>Must be &gt;=Initial Date Last Day Worked</td>
<td></td>
</tr>
<tr>
<td>Must be &gt;= Initial RTW Date</td>
<td></td>
</tr>
<tr>
<td>Must be &gt;= Current Date Last Day Worked</td>
<td></td>
</tr>
</tbody>
</table>
5B. Error Resolution - What is valid value?

<table>
<thead>
<tr>
<th>DN</th>
<th>Report or MTC</th>
<th>Data Element Name</th>
<th>Population Restriction</th>
</tr>
</thead>
<tbody>
<tr>
<td>0118</td>
<td>FROI</td>
<td>Accident Site County/Parish</td>
<td>Must be valid per the IN WCB Accident Site County List</td>
</tr>
</tbody>
</table>

**Edit:** If ACCIDENT SITE STATE CODE - DN0123 is present and = Implementation State, then Must be valid per the Implementation State’s Accident Site County List.

**Note:** The valid list is included on worksheet labeled ‘IN WCB Accident Site County List’ in the Edit Matrix.

**Exception:** Bypass this edit if ACCIDENT SITE STATE CODE - DN0123 is not present or ACCIDENT SITE STATE CODE - DN0123 is present and not = IN.

**Indiana Accident Site County Names**
See Population Restriction Edit: DN0118 Accident Site County/Parish Error: 111 Must be valid content

- Adams
- Allen
- Bartholomew
- Benton
- Blackford
- Boone
<table>
<thead>
<tr>
<th>REC</th>
<th>DN#</th>
<th>DATA ELEMENT NAME</th>
<th>FORMAT</th>
<th>00</th>
<th>01</th>
<th>02</th>
<th>04</th>
<th>AQ</th>
<th>AU</th>
<th>UR - Update Report</th>
<th>JE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Reportable Change</td>
<td></td>
</tr>
<tr>
<td>FROI</td>
<td>R21</td>
<td>0121 Accident Site City</td>
<td>15 A/N</td>
<td>L</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
<td>N</td>
<td>MC</td>
</tr>
<tr>
<td>FROI</td>
<td>R21</td>
<td>0122 Accident Site Street</td>
<td>40 A/N</td>
<td>L</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
<td>N</td>
<td>MC</td>
</tr>
<tr>
<td>FROI</td>
<td>R21</td>
<td>0123 Accident Site State Code</td>
<td>2 A/N</td>
<td>L</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
<td>N</td>
<td>MC</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Both</td>
<td>R21</td>
<td>0280 Accident Site Country Code</td>
<td>3 A/N</td>
<td>L</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
<td>Y</td>
<td>MC</td>
</tr>
<tr>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Initial Date Employer Had Knowledge of Date of Disability</td>
<td>DATE</td>
<td>L</td>
<td>NA</td>
<td>MC</td>
<td>J</td>
<td>J</td>
<td>B</td>
<td>J</td>
<td>AR</td>
</tr>
</tbody>
</table>

**Required if Accident Site Country Code (DN0280) is blank, or = US, MX or CA.**

**Exception:** Do Not Run if DN0119 (Accident Site Location Narrative) is present.
## 5B. Hot Topics – Error Resolution – AR Vs MC

**MC is Mandatory/Conditional**
- This field is mandatory, dependent upon another condition.

**AR is If applicable/Available**
- The board would like this information if you have it.

### Data Element Table

<table>
<thead>
<tr>
<th>On FROI, SROR, Both</th>
<th>REC</th>
<th>DN#</th>
<th>DATA ELEMENT NAME</th>
<th>FORMAT</th>
<th>00</th>
<th>01</th>
<th>02</th>
<th>04</th>
<th>AQ</th>
<th>AU</th>
<th>UR - Update Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Both R21</td>
<td>0043</td>
<td></td>
<td>Employee Last Name</td>
<td>40 A/N</td>
<td>N</td>
<td>Y</td>
<td>m</td>
<td>m</td>
<td>N</td>
<td>K</td>
<td>B</td>
</tr>
<tr>
<td>Both R21</td>
<td>0045</td>
<td></td>
<td>Employee Middle Name/Initial</td>
<td>15 A/N</td>
<td>N</td>
<td>AR</td>
<td>AR</td>
<td>MC</td>
<td>K</td>
<td>K</td>
<td>B</td>
</tr>
<tr>
<td>FROI R21</td>
<td>0046</td>
<td></td>
<td>Employee Mailing Primary Address</td>
<td>40 A/N</td>
<td>N</td>
<td>M</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
</tr>
<tr>
<td>FROI R21</td>
<td>0047</td>
<td></td>
<td>Employee Mailing Secondary Address</td>
<td>40 A/N</td>
<td>N</td>
<td>AR</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
</tr>
<tr>
<td>FROI R21</td>
<td>0155</td>
<td></td>
<td>Employee Mailing Country Code</td>
<td>3 A/N</td>
<td>N</td>
<td>MC</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
</tr>
<tr>
<td>FROI R21</td>
<td>0051</td>
<td></td>
<td>Employee Phone Number</td>
<td>15 A/N</td>
<td>N</td>
<td>AR</td>
<td>NA</td>
<td>MC</td>
<td>Y</td>
<td>Y</td>
<td>B</td>
</tr>
<tr>
<td>Both R21</td>
<td>0146</td>
<td></td>
<td>Death Result of Injury Code</td>
<td>1 A/N</td>
<td>L</td>
<td>MC</td>
<td>NA</td>
<td>MC</td>
<td>J</td>
<td>J</td>
<td>B</td>
</tr>
</tbody>
</table>
6. V1.4 AND V1.5 CHANGES

v1.4 changes went into effect 11/12/19

v1.5 changes are anticipated to be published by 12/2/19 and go into effect 03/23/20
6A. V1.4 CHANGES

- 2\textsuperscript{nd} UI now being accepted
  - 2\textsuperscript{nd} UI TA but requires supplemental submission
  - No Form will be generated
- UI min/max due dates
  - $>25$ and $<65$ days
  - Both dates used DN0041
- OBT filing changes
  - More restrictive
- Cancel Reason Code/Narrative
6B. V1.5 CHANGES

- SJ filings
  - No EDI filing for SF 54271
- UI min/max due dates
  - ≥25 from DN0040
- Self-Insured Employers – Manual Class Code
6c. Hot Topics - Legacy Claims

- A Legacy claim is any claim that is open and previously reported in R1 for which the Date Claim Admin Had Knowledge of Injury is < 3/20/2019 OR any medical only claim that is still open and the DOI >= 7/1/18 where the Date Claim Administrator Had (Notice or) Knowledge of the Injury(DN0041) < 03/20/2019.

- The FROI UR is required prior to submitting any SROI in R3.1 for ONLY Open or Reopened Legacy claims.
6c. Hot Topics - Legacy Claims

Edit Matrix Definition:

1) FROI UR Exists in TA/TE Acknowledgment Status
   OR

2) Latest FROI in TA/TE (other than 02/AQ) has Date Claim Administrator Had (Notice or) Knowledge of the Injury (DN0041) < 03/20/2019
   OR

3) Incoming is FROI UR
   OR

4) Incoming claim has Date Claim Administrator Had (Notice or) Knowledge of the Injury (DN0041) < 03/20/2019
7. Other Board Updates

- Technology Upgrades
  - Server Migration
  - Accident Tracking
  - Other Community Tools
- Forms
  - New Forms
  - Revised/Updated Forms
CONTACTS

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Jake Forte  EDI Corrections  317-232-3807  jforte@wcb.in.gov

EDI statute questions  WCBEDI@wcb.in.gov
EDI vendor questions  INWCBEDI@iso.com
Non-EDI WCB questions  IT@wcb.in.gov
CONTACTS EXPLAINED

EDI statute questions  WCBEDI@wcb.in.gov

EDI and Statute  EDI and Forms

SSN Errors/Name Changes

EDI vendor questions  INWCBEDI@iso.com

All EDI Questions except those listed above

Non-EDI WCB questions  IT@wcb.in.gov

Website Issues  Blast Registration

Online Board Resources
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