

EDI DEMYSTIFIED

WORKER'S COMPENSATION BOARD OF INDIANA

KATIA BRODSKAYA

DAVID BABCOCK

NOVEMBER 21, 2019

BASIC HOUSEKEEPING

- Webinar is being recorded and will be made available at a future date
- PowerPoint will be made available after the presentation at the same time of live recording
- Send your live questions to:

wcbedi@wcb.in.gov

Please use "Webinar Questions" in the Subject Line

WHAT ARE WE HERE FOR?

- Board Resources
- What is EDI?
- EDI workflow
- FROI vs SROI
- Hot Topics
- Review of some v1.4 and v1.5 changes
- Other Board Updates
- Live Q and A

1. BOARD RESOURCES

- www.in.gov/wcb - best resource for information from the Board
- News / Notices – try to cover any major announcements.
 - To sign up for Board email notifications →  top left corner
- <https://inwcbEDI.info/> - resource exclusively for EDI 3.1 content

2. WHAT IS EDI?

Electronic Data Interchange is a process which allows one company to send information to another company electronically rather than with paper.

i.e. Trading partners send accident/claim data to jurisdiction

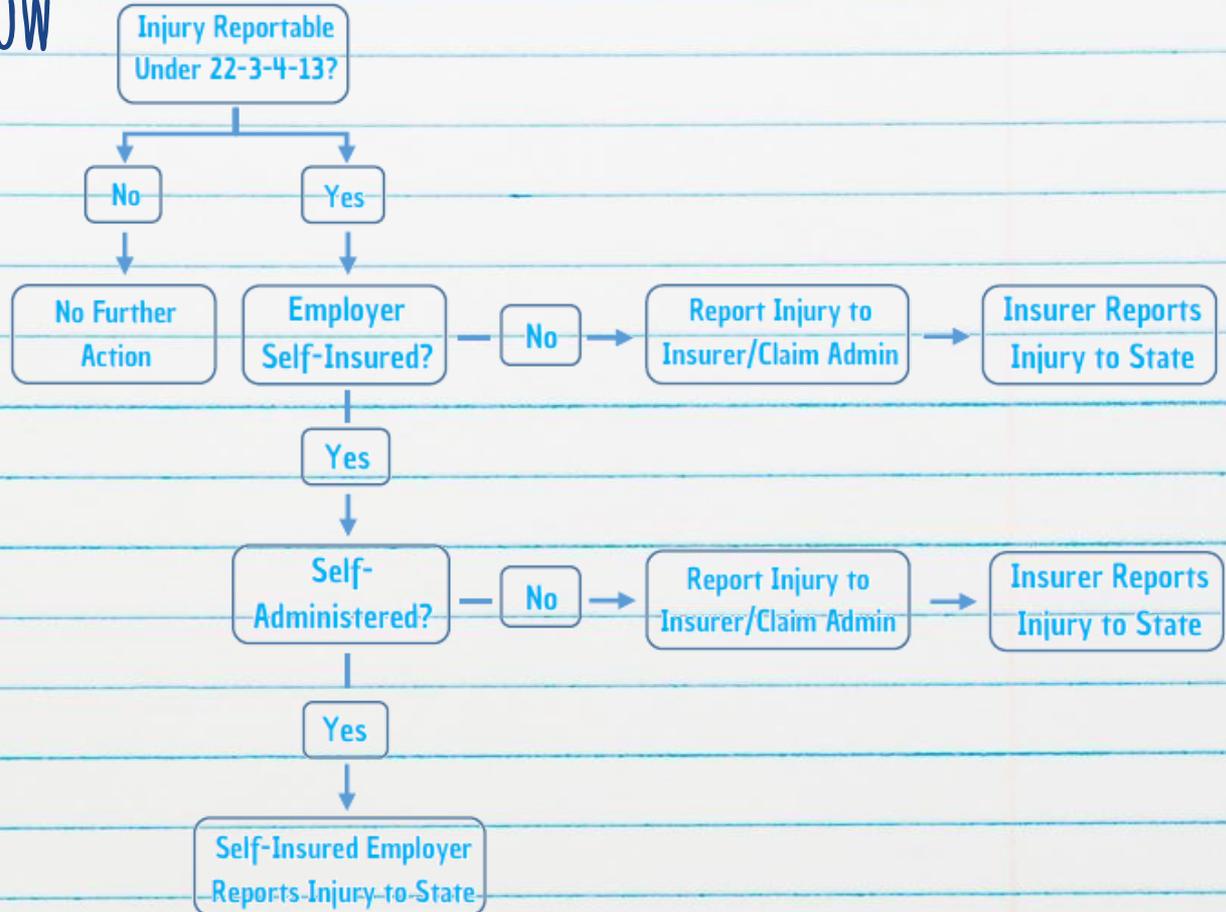
2A. EDI & INDIANA

- Indiana deployed new EDI reporting standards for FROI/SROI reporting 3/20/19.
- Indiana was a R1 FROI only state prior to implementing R3.1
- Indiana was the 3rd state to transition to R3.1
- There are 6 states currently on R3.1
- Indiana contracted with vendor ISO/Verisk to implement R3.1 and provide ongoing support and resources to the Board

3. EDI WORKFLOW

EDI workflow varies from insurer to insurer as well as for self-insured employers

3A. EDI WORKFLOW



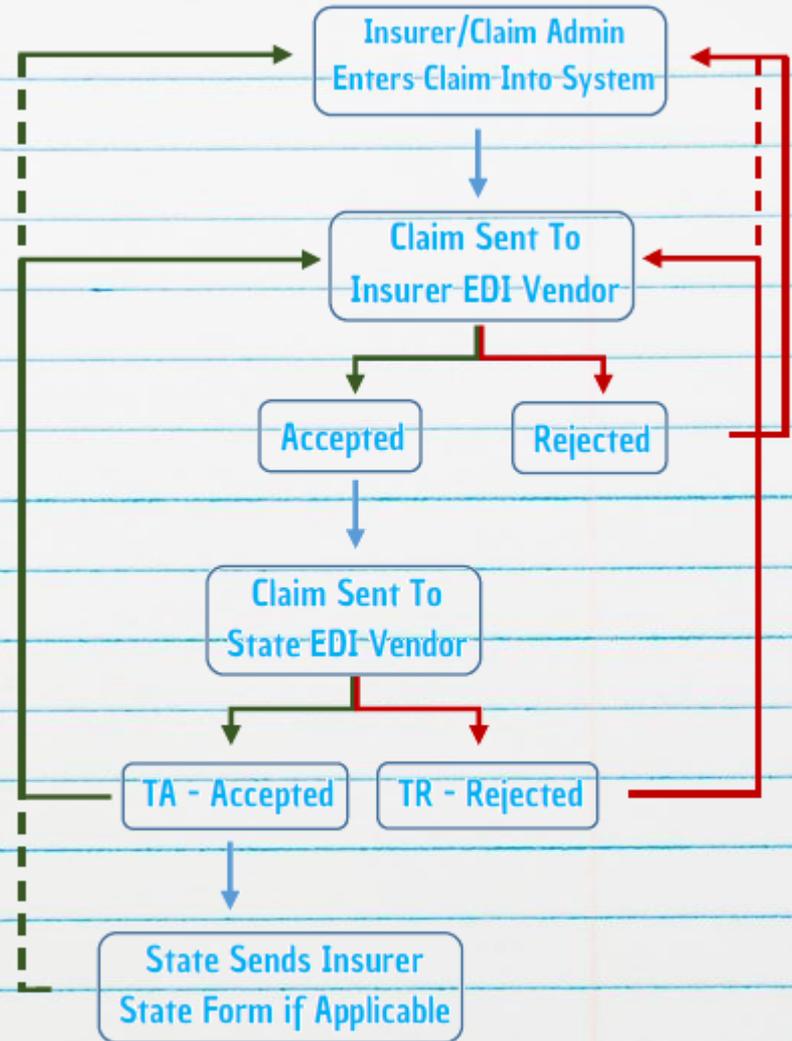
3B. EDI WORKFLOW

Monday - Friday:

The cut-off for receipt of data from IN WCB Trading Partners will be **1:00 AM EST**. IN WCB Trading Partners will receive acknowledgment files no later than 5:00 AM EST pending any unforeseen processing issues.

Friday (after the cutoff), Saturday and/or Sunday:

Trading Partners can connect and upload FROI/SROI files and will receive acknowledgment files no later than **5:00 AM EST on Monday** pending any unforeseen processing issues.



3B. EDI WORKFLOW

Event Table – FROI/SROI tabs

These tables relate EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information. These circumstances and timeframes reflect legislative mandates and specifications relative to reporting requirements based on various criteria.

Release	Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
		Code	Description	Criteria	From	Thr	Criteria	Trigger Value	Value	Due Type	From			
3.1	SROI	02	Change	2	3-20-19		R = 02 Change timeline: SROI 02 is due when a <i>reportable change</i> * occurs on the Claim Administrator's database until Report <i>Trigger Value</i> is reached	B = 0 days after last accepted FN. Aka: No 02 after the FN	N/A	N/A	N/A	N/A	N/A	N/A
3.1	SROI	04	Denial	2	3-20-19		W3 = deny entire claim after previously accepted FROI W4 = deny entire claim after any SROI has been accepted	Claim is being denied and FROI has been accepted Claim is being denied entire claim after any SROI has been accepted NOTE: If SROI 04 filed and no indemnity or medical has ever been paid, a SROI FN is permitted but is not required.	30 days	C	C = Date Employer Had Knowledge of the Injury (DN0040), unless an extension has been granted.	*Indiana Code 22-3-3-7	Form 53914	EE

4. FROI & SROI

FROI = First Report of Injury

- Due when the injury becomes reportable
- Date of Injury vs Date Employer Had Knowledge of Injury

SROI = Subsequent Report of Injury

- Due date varies dependent on the SROI being submitted and the SROIs previously submitted

4A. FROI

FROI = First Report of Injury

FROI is a group of transactions occurring in the early stages of workers' compensation claim processing that typically report the parties involved and describe the accident and resulting injuries.

4A CONT. FROI

When is the injury reportable?

Per IC 22-3-4-13 - "Within seven (7) days after the employer's knowledge of the injury, either actual, alleged, or reported under IC 22-3-3-1, that causes an employee's death or the need for medical care beyond first aid, a report concerning the injury shall be made..."

Date of Injury (DN0031) vs Date Employer Had Knowledge of Injury (DN0040)

An incident that does not require at least basic first aid is not a reportable injury in Indiana. As such, an employer cannot have knowledge of a reportable injury before basic first aid is administered.

4A CONT. FROI

Example:

John bumps his elbow on 5/15/19 and tells his ER of incident but does not receive any medical care. On 5/21/19, John goes to urgent care due to ongoing pain in the elbow. On 5/22/19, John informed ER that he went to urgent care.

Date of Injury (DN0031) – 5/15/19

Date Employer Had Knowledge of the Injury (DN0040) – 5/22/19

4A CONT. FROI - FIRST REPORT OF INJURY

MTC DESCRIPTION

00 ORIGINAL

01 CANCEL

02 CHANGE

04 DENIAL

AQ ACQUIRED

AU ACQUIRED/UNALLOCATED

UR UPDATE REPORT

4B. SROI

SROI = Subsequent Report of Injury

Based on IAIABC EDI Claims Release 3.1 standards, the SROI is a group of data elements for workers' compensation claim processing, that typically report the benefit, payment, return to work and closure data.

4B CONT. SROI - SUBSEQUENT REPORT OF INJURY

MTC DESCRIPTION

02 CHANGE

04 DENIAL

AP ACQUIRED/PAYMENT

CA CHANGE IN BENEFIT AMOUNT

CB CHANGE IN BENEFIT TYPE

CD COMPENSABLE DEATH - NO KNOWN

DEPENDENTS/PAYEES

EP EMPLOYER PAID

ER EMPLOYER REINSTATEMENT

MTC DESCRIPTION

FN FINAL

IP INITIAL PAYMENT

NT NARRATIVE

PD PARTIAL DENIAL

PY PAYMENT REPORT

RB REINSTATEMENT OF BENEFITS

SX FULL SUSPENSION

UI UNDER INVESTIGATION

UR UPDATE REPORT

5. HOT TOPICS

- Med Only Claims and FN filings
- Late Reason Reporting Code
- 0% PPI

Required if # of Permanent Impairments (DN0078) > 0
OR Benefit Type Code (DN0085) = 030 Permanent
Partial Scheduled

- SSN and Name Matching
- Forms
- Error Resolution - Example
 - Edit Matrix - DN Error Message
- Legacy Claims → UR vs 00/04

5A. HOT TOPICS - SSN & NAME MATCHING

- Indiana has a legacy data issue that allows only 1 record for every SSN
- FROI 00/04 is submitted WCB vendor checks against the WCB's master SSN list
- If the name submitted does not match Indiana's records, insurer will get a TR showing what Indiana has on record

Example: WCB has Michael Smith. FROI 00 has Mike Smith

Option 1: Change the name to match what WCB has

1A (optional): send a FROI 02 change after a FROI 00 has been issue to correct the name

Option 2: Email WCBEDI@wcb.in.gov with requested change

- Common issue for those that change names (marriage or divorce) also for Jr./Sr./II/III

5B. HOT TOPICS – ERROR RESOLUTION – STEP BY STEP

Sorted by Error Message & DN		Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present	Number of Days Worked must be 0-7	Days must be 0-6	All digits must be 0-9	Must be a valid date (CCYYMMDD)	Must be A-Z, 0-9, or spaces
DN	IAIABC Data Element Name				001	018	019	028	029	030
0104	Test/Production Code	NI	F	P	F					
0105	Interchange Version ID	NI	F	P	F					
0106	Detail Record Count	NI	F	F	F			L		
0118	Accident Site County/Parish	L1	Y	P	L					
0119	Accident Site Location Narrative	L1	Y	P	L					

SCREEN	ELEMENT NUM	ERROR NUM
ACCIDENT SITE (FROI 3.0/3.1)	0118	001



SCREEN	ELEMENT NUM	ERROR NUM	VAR. SEG. NUM	ELEMENT NAME	JUR. ERR. MSG.	STANDARD ERR. DESC.
ACCIDENT SITE (FROI 3.0/3.1)	0118	111	00	ACCIDENT SITE COUNTY/PARISH		MUST CONTAIN VALID CONTENT

Sorted by Error Message & DN		Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Must be >= Employee Date of Hire	Date Must be >= Jurisdiction Implementation Date	Must be valid content	Must be >= Initial Date Last Day Worked	Must be >= Initial RTW Date	Must be >= Current Date Last Day Worked
DN	IAIABC Data Element Name				109	110	111	112	113	114
0104	Test/Production Code	NI	F	P			L			
0105	Interchange Version ID	NI	F	P			L			
0106	Detail Record Count	NI	F							
0118	Accident Site County/Parish	L1	Y	P			L			
0119	Accident Site Location Narrative	L1	Y	P			L			

5B. ERROR RESOLUTION - WHAT IS VALID VALUE?

DN	Report or MTC	Data Element Name	Population Restriction
0118	FROI	Accident Site County/Parish	<p>Must be valid per the IN WCB Accident Site County List</p> <p>Edit: If ACCIDENT SITE STATE CODE – DN0123 is present and = Implementation State, then Must be valid per the Implementation State's Accident Site County List.</p> <p>Note: The valid list is included on worksheet labeled 'INWCB Accident Site County List' in the Edit Matrix.</p> <p>Exception: Bypass this edit if ACCIDENT SITE STATE CODE – DN0123 is not present or ACCIDENT SITE STATE CODE – DN0123 is present and not = 'IN'.</p>

Indiana Accident Site County Names
See Population Restriction Edit: DN0118 Accident Site County/Parish Error: 111 Must be valid content
Adams
Allen
Bartholomew
Benton
Blackford
Boone
D



5B. HOT TOPICS – ERROR RESOLUTION - AR VS MC

MC is Mandatory/Conditional

This field is mandatory, dependent upon another condition.

AR is If applicable/Available

The board would like this information if you have it.

<i>On FROI, SROI, Both</i>	REC	DN#	DATA ELEMENT NAME	FORMAT	00	01	02						04	AQ	AU	UR - Update Report	
Both	R21	0043	Employee Last Name	40 A/N	NI	Y	m	m	m	N	K	B	N	m	m	m	m
Both	R21	0045	Employee Middle Name/Initial	15 A/N	NI		AR	AR	MC	K	K	B	K	AR	AR	AR	AR
FROI	R21	0046	Employee Mailing Primary Address	40 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
FROI	R21	0047	Employee Mailing Secondary Address	40 A/N	NI		AR	NA	MC	Y	Y	B	Y	AR	AR	AR	AR
FROI	R21	0155	Employee Mailing Country Code	3 A/N	NI		MC	NA	MC	Y	Y	B	Y	MC	AR	MC	AR
FROI	R21	0051	Employee Phone Number	15 A/N	NI		AR	NA	MC	Y	Y	B	Y	AR	AR	AR	AR
Both	R21	0146	Death Result of Injury Code	1 A/N	L		MC	NA	MC	J	J	B	J	MC	AR	MC	AR

6. V1.4 AND V1.5 CHANGES

v1.4 changes went into effect 11/12/19

**v1.5 changes are anticipated to be published by
12/2/19 and go into effect 03/23/20**

6A. V1.4 CHANGES

- **2nd UI now being accepted**
 - **2nd UI TA but requires supplemental submission**
 - **No Form will be generated**
- **UI min/max due dates**
 - **>25 and <65 days**
 - **Both dates used DN0041**
- **OBT filing changes**
 - **More restrictive**
- **Cancel Reason Code/Narrative**

6B. V1.5 CHANGES

- **SJ filings**
 - **No EDI filing for SF 54271**
- **UI min/max due dates**
 - **>25 from DN0040**
- **Self-Insured Employers – Manual Class Code**

6C. HOT TOPICS – LEGACY CLAIMS

- A Legacy claim is any claim that is open and previously reported in R1 for which the Date Claim Admin Had Knowledge of Injury is < 3/20/2019 OR any medical only claim that is still open and the DOI >= 7/1/18 where the Date Claim Administrator Had (Notice or) Knowledge of the Injury(DN0041) < 03/20/2019.
- The FROI UR is required prior to submitting any SROI in R3.1 for ONLY Open or Reopened Legacy claims.

6C. HOT TOPICS – LEGACY CLAIMS

Edit Matrix Definition:

1) FROI UR Exists in TA/TE Acknowledgment Status
OR

2) Latest FROI in TA/TE (other than 02/AQ) has Date Claim Administrator Had (Notice or) Knowledge of the Injury (DN0041) < 03/20/2019
OR

3) Incoming is FROI UR
OR

4) Incoming claim has Date Claim Administrator Had (Notice or) Knowledge of the Injury (DN0041) < 03/20/2019

7. OTHER BOARD UPDATES

- **Technology Upgrades**
 - **Server Migration**
 - **Accident Tracking**
 - **Other Community Tools**
- **Forms**
 - **New Forms**
 - **Revised/Updated Forms**

CONTACTS

Linda Hamilton	Chairman	317-232-3821	lindahamilton1113@gmail.com
David Babcock	IT Manager	317-233-0396	dbabcock@wcb.in.gov
Katia Brodskaya	Director of Compliance	317-232-5922	ybrodskaya@wcb.in.gov
Jake Forte	EDI Corrections	317-232-3807	jforte@wcb.in.gov

EDI statute questions WCBEDI@wcb.in.gov

EDI vendor questions INWCBEDI@iso.com

Non-EDI WCB questions IT@wcb.in.gov

CONTACTS EXPLAINED

EDI statute questions

WCBEDI@wcb.in.gov

EDI and Statute

EDI and Forms

SSN Errors/Name Changes

EDI vendor questions

INWCBEDI@iso.com

All EDI Questions except those listed above

Non-EDI WCB questions

IT@wcb.in.gov

Website Issues

Blast Registration

Online Board Resources

BASIC HOUSEKEEPING

- Webinar is being recorded and will be made available at a future date
- PowerPoint will be made available after the presentation at the same time of live recording
- Send your live questions to:

wcbedi@wcb.in.gov

Please use "Webinar Questions" in the Subject Line