



WELCOME TO THE WORKER'S COMPENSATION BOARD OF INDIANA (IN WCB) EDI CLAIMS RELEASE 3.1 INFORMATION WEBINAR

DATE: AUGUST 21, 2018

**TIME: 2:30 PM EST, 1:30 PM CST –
4:30 EST, 3:30 PM CST**

For Claim Administrators, Insurance
Companies, Third Party Administrators, Self
Insured Employers & EDI Service Providers.

Introduction of Presenters

Worker's Compensation Board of Indiana

Linda Hamilton | Chairman

David Babcock | Manager of Information Technology

Katia Brodskaya | Director of Compliance

Mary Taivalkoski | Executive Administrator

ISO Workers Compensation Standards division

Amy E. Cooper, WCP | Business Associate – Strategy & Operations

Robbie Tanner | wcCapture Product Manager

Nancy Johns | wcAnalyzer Product Manager

Natalie Tarazona, WCP | wcCapture/wcAnalyzer Assistant Product Manager

Linda Yon | wcCapture Assistant Product Manager

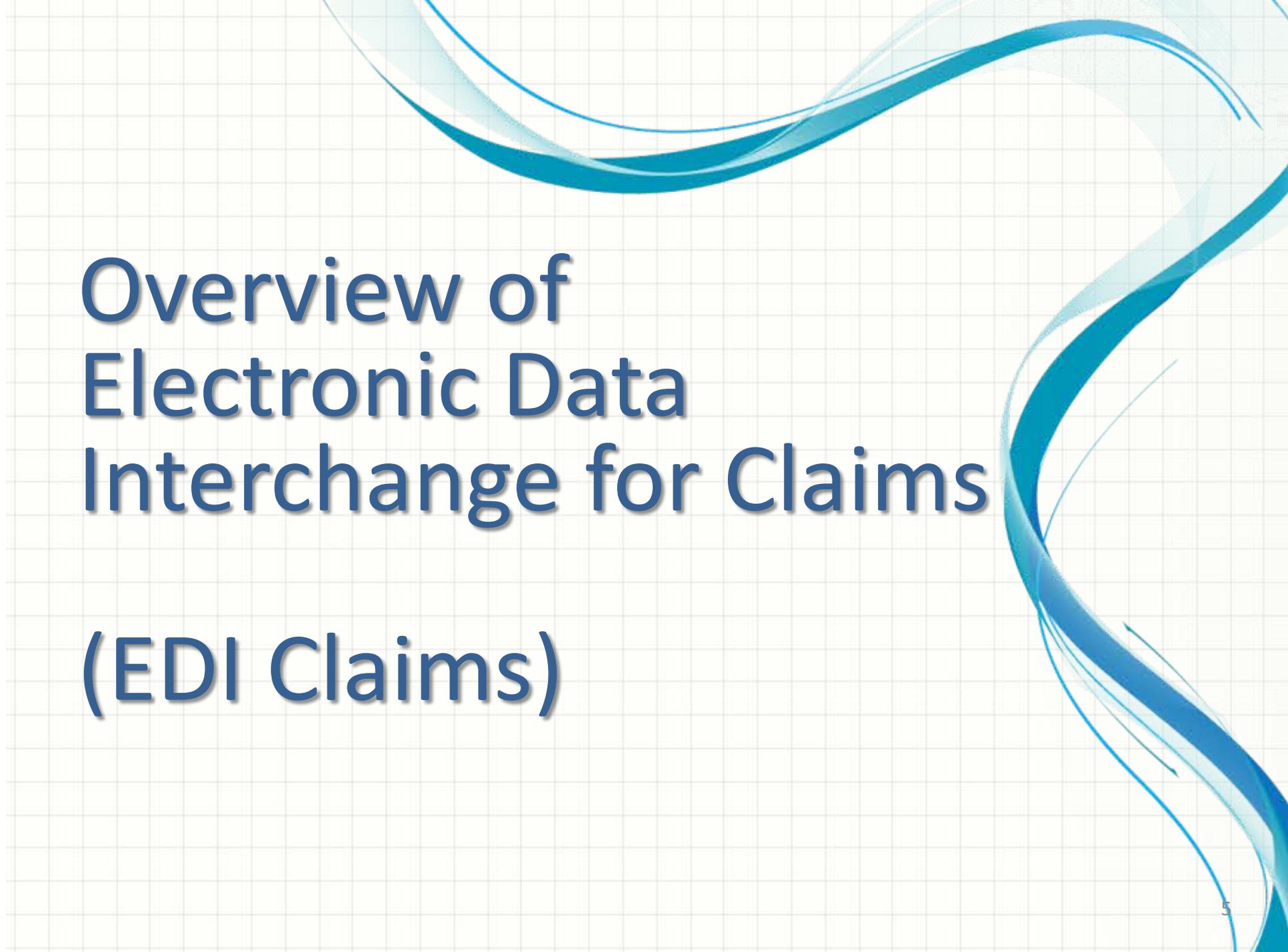


INDIANA EDI CLAIMS WEBSITE OVERVIEW



Welcome to the Worker's Compensation Board of Indiana (IN WCB) EDI Claims Release 3.1 Information Webinar During the Information Webinar

- All attendees' phones will be muted for the duration of the Session.
- Any questions during the Information Session, please send an email to INWCBedi@iso.com (Hereafter referred to as Indiana's EDI email).
 - The questions will be presented during or immediately following the session as time permits.
 - All questions and answers will be available online at <https://INWCBedi.info> (Hereafter referred to as Indiana's EDI website), shortly after the Information Session.
- This Information Session is being recorded and will be available online for future viewing at Indiana's EDI website by August 27th.



Overview of Electronic Data Interchange for Claims (EDI Claims)

What is EDI?



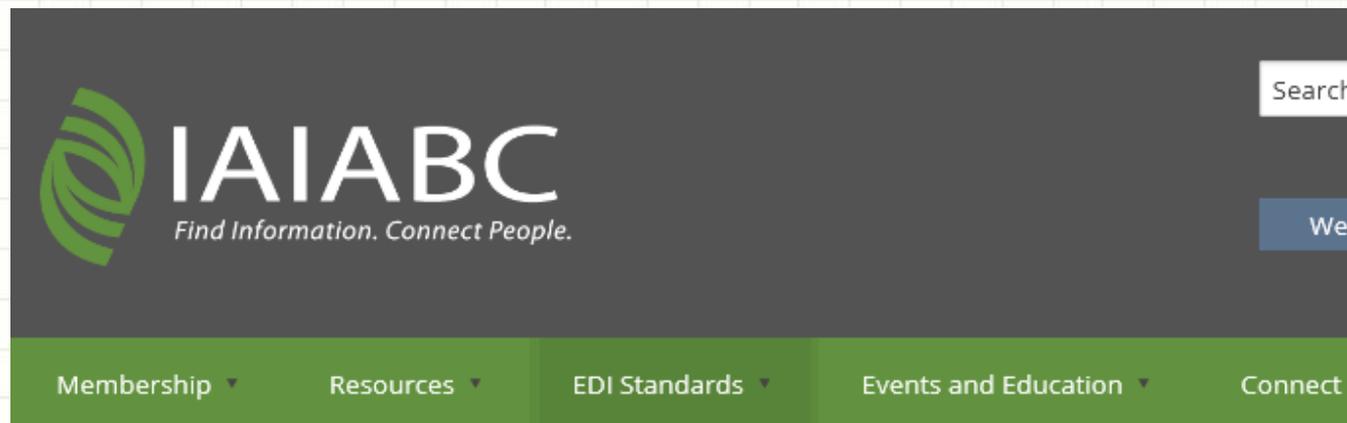
Electronic Data Interchange (EDI) is the electronic exchange of data between *business* trading partners, in a standardized format.

Indiana is using the IAIABC National Standard, EDI Claims Release 3.1 to replace EDI Release 1 reporting.

Implementation Considerations and Coordination

Access IAIABC Claims Release 3.1 Implementation schedule at:

https://www.iaiaabc.org/iaiaabc/EDI_Claims.asp



Select **EDI Claims**
from the EDI
Standards menu

Claims Release
3.1

Implementation
schedule

EDI Claims Standard

IAIABC EDI Claims Standards are used to report of injury and subsequent report of injury. The most current release and is maintained. Release 3.1 is published on January 1 of 2018.

Claims State Implementer

Download the [EDI Jurisdictional Profile](#) for all IAIABC EDI Standards.

View the [EDI Jurisdictional Summary](#) for all IAIABC EDI Standards.

View the [Claims Release 3.1 Implementation Schedule](#)

EDI Claims

EDI Medical

EDI Proof of Coverage

Electronic Medical
Billing

Standard References

Standards
Development

Licensing

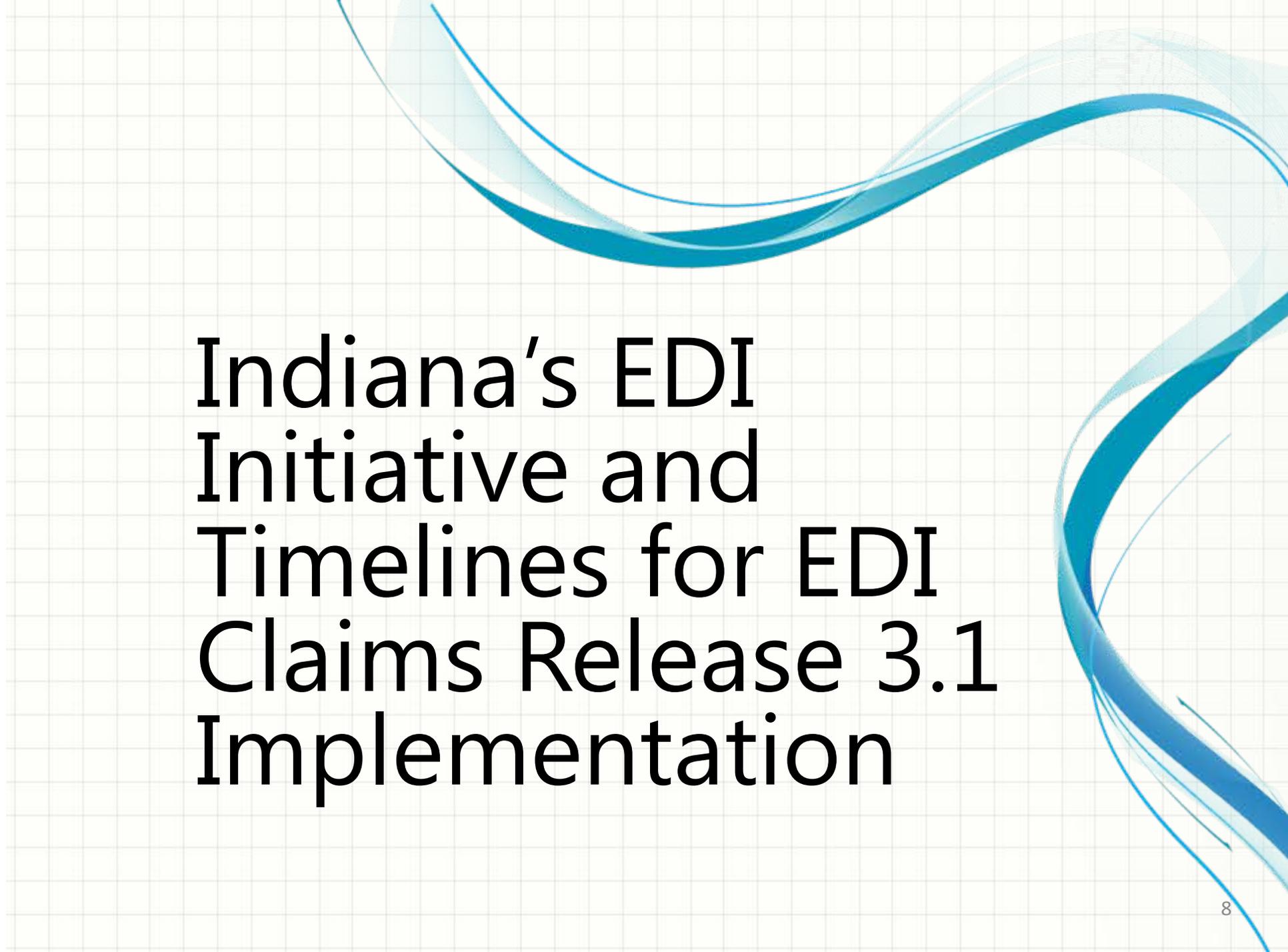
Support workers' compensation first in all jurisdictions. EDI Claims Release 3.1 is the most current EDI Claims Release 3.1 is published on January 1 of 2018.

Learn more about implementations of all IAIABC EDI Standards.

Get implementation insight on all IAIABC EDI Standards.

Search

We



Indiana's EDI Initiative and Timelines for EDI Claims Release 3.1 Implementation

Indiana's Initiative and Timeline for EDI Claims Release 3.1 Implementation

The Worker's Compensation Board of Indiana (IN WCB) will be transitioning from electronic reporting of workers' compensation First Reports of Injury (FROI) via Release 1 (R1), to First Reports of Injury (FROI) and Subsequent Reports of Injury (SROI) in Release 3.1 (R3.1).

Release 3.1 electronic reporting will be required for all trading partners: insurers, self-insured employers, and claim administrators. Mandatory implementation is planned for January 1, 2019.

Indiana's Initiative and Timeline for EDI Claims Release 3.1 Implementation

Ensure the last reportable Release 1 data is sent to and received by Indiana on Thursday, December 27, 2018.

All Release 1 reports not received by Indiana on December 27, 2018 by 3:00 PM CT - 4:00 PM ET must be submitted electronically per the requirements on the R3.1 Event Table, effective January 1, 2019.

Electronic reporting via Release 3.1 for all Trading Partners will begin on Tuesday, January 1, 2019.

Indiana's Initiative and Timeline for EDI Claims Release 3.1 Implementation

Trading Partner Registration: Available starting on
7/24/2018 through 11/01/2018

Trading Partner/EDI Vendor Testing: Available starting
on 8/24/2018 through 12/13/2018

Acceptance of Release 1: Ongoing through 12/27/2018

Mandatory Release 3.1 FROI SROI Reporting Begins:
1/1/2019

Indiana's Trading Partner Registration

Who is a Trading Partner?



A Trading Partner is an entity that enters into an agreement with Indiana to exchange data electronically.

Who should complete a Trading Partner Profile?

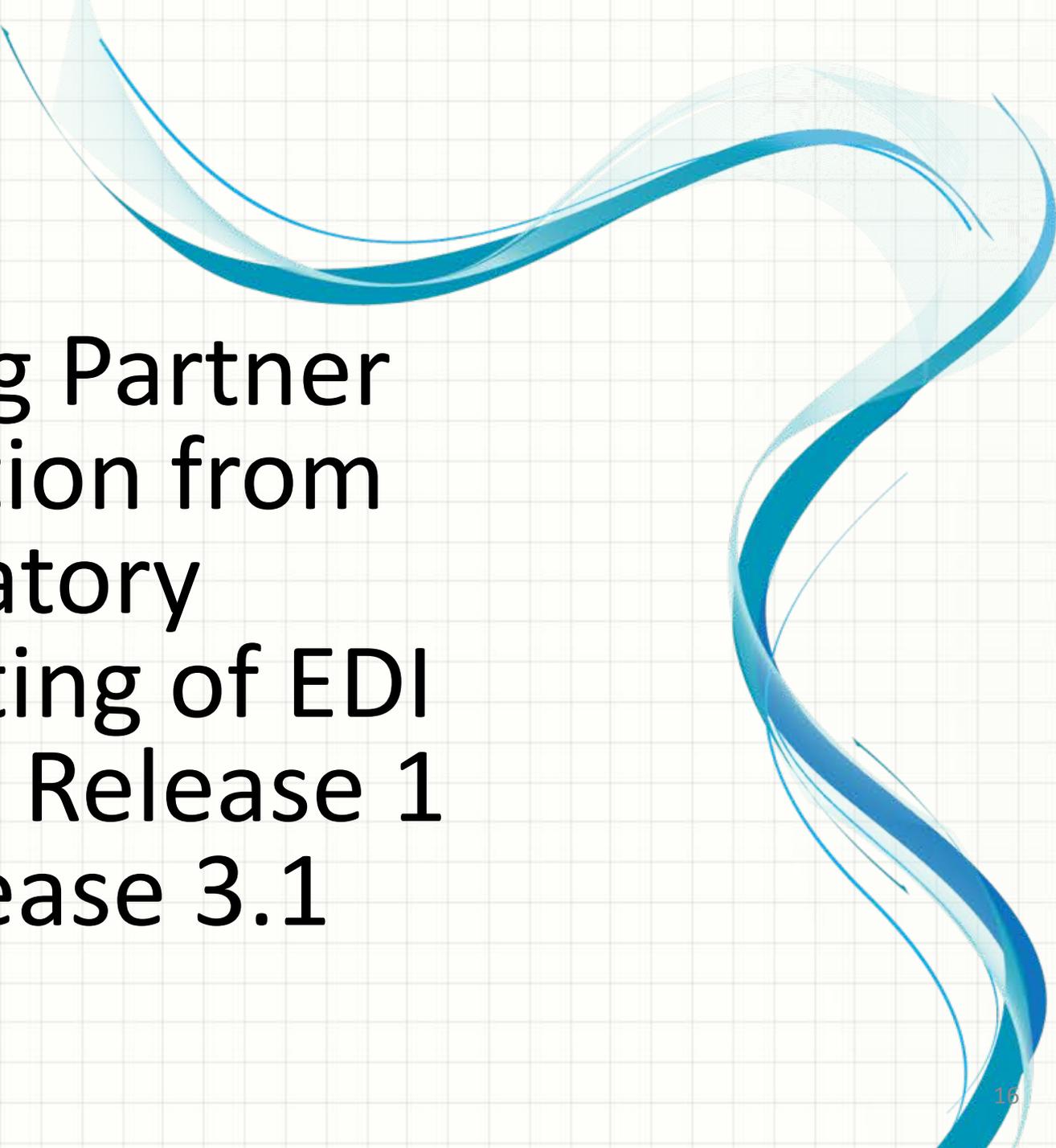
- Claim Administrators, Insurers and Self-Insured Employers, and Third Party Administrators who will be submitting data directly.
- Any business who plans to use the Indiana ISO Jurisdiction Web Entry reporting system.
- Claim Administrators, Insurers and Self Insured Employers, and Third Party Administrators who will be using an EDI Service Provider or Vendor.
- Any business entity that plans to submit data on behalf of one or more Claim Administrators, Insurers and Self Insured Employers, for example a Third Party Administrator.

Trading Partner Profile (TPP) Registration Timelines

- Trading Partner Profile registration is now available
- Registration will be completed electronically through Indiana's EDI website by selecting Trading Partner Registration on the left side of the page



- All Trading Partners are encouraged to submit a TPP by 11/01/2018
- Even though you are a Release 1 registered Trading Partner, you must re-register for R3.1

A decorative graphic consisting of several overlapping, wavy blue lines that flow from the top left towards the bottom right, framing the text on the left side of the slide.

Trading Partner Transition from Mandatory Reporting of EDI Claims Release 1 to Release 3.1

Trading Partner Transition to Mandatory FROI SROI EDI Reporting for R3.1

How does the transition work?

Report New Claims: Claims that are new and have never been reported in Release 1 (R1) to Indiana.

What EDI reports should be sent for New Claims?

FROI: For each new claim, review the FROI Event Table to determine which event would cause you to report the claim to Indiana.

SROI: Following the FROI report, review the SROI Event Table to determine what SROI reports can follow the FROI and should be reported to Indiana.

Trading Partner Transition to Mandatory FROI SROI EDI Reporting for R3.1

How does the transition work? Report Legacy Claims:

A Legacy Claim properly filed: is any claim previously required and properly reported in Release 1 for which a Jurisdiction Claim Number (JCN) has been assigned.

A Legacy Claim not properly filed: is any claim previously required and not properly reported in Release 1 and no Jurisdiction Claim Number (JCN) assigned.

Trading Partner Transition to Mandatory FROI SROI EDI Reporting for R3.1

What EDI reports should be sent for Legacy Claims?

Legacy Claims properly filed: A FROI UR (Update Report) is required prior to submitting any FROI or SROI in R3.1. The FROI UR is intended to match a claim previously reported in R1 and to set the picture for R3.1 reporting.

Following the FROI UR, a SROI UR or any applicable SROI per sequencing is allowed to be reported.

Please review the Event Table for details.

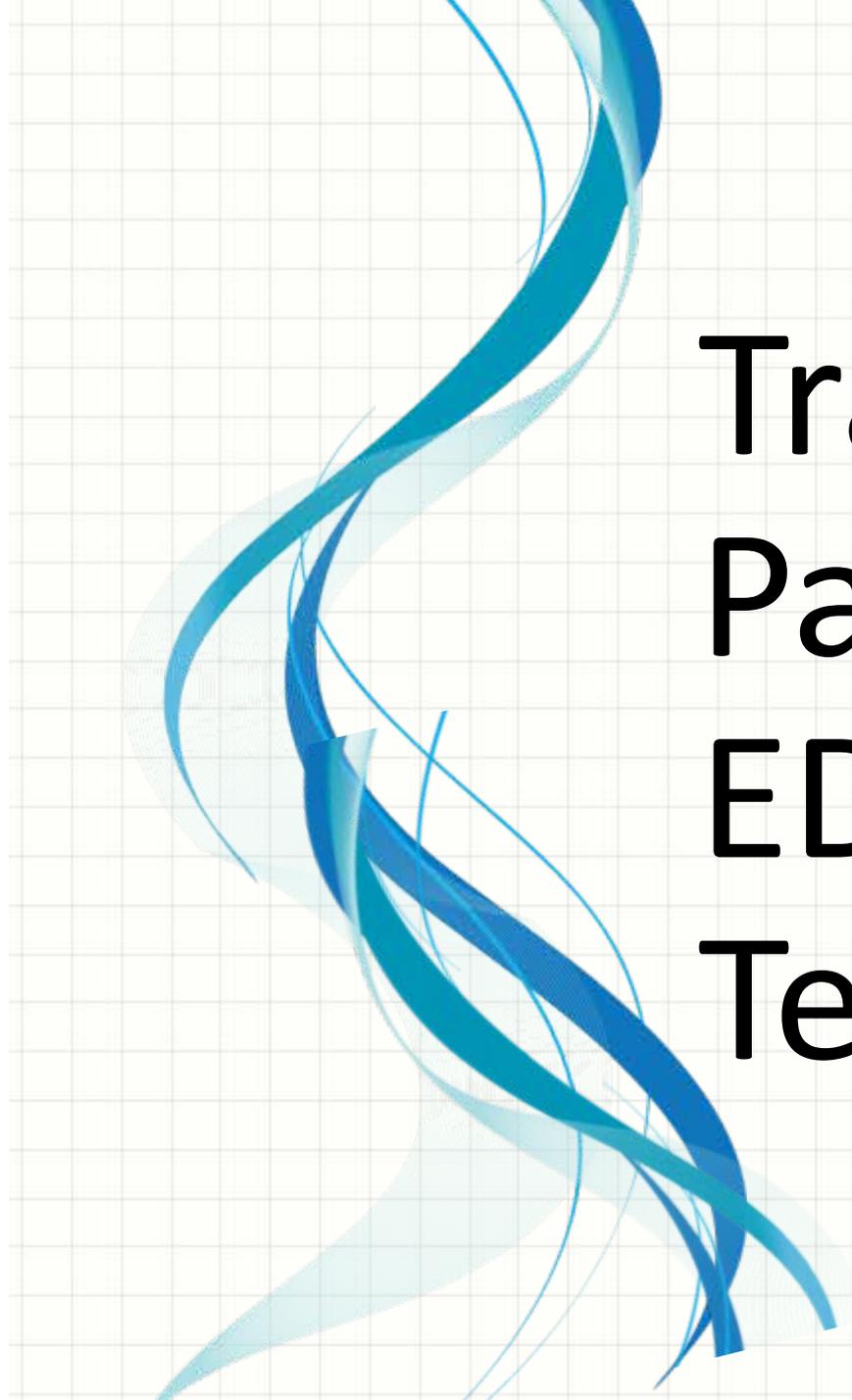
Trading Partner Transition to Mandatory FROI SROI EDI Reporting for R3.1

What EDI reports should be sent for Legacy Claims?

Legacy Claims not properly filed: If a FROI R1 MTC was required and was not properly filed with Indiana, a Legacy Claim would not have been created for which to match to a FROI UR, so the applicable FROI 00 (Original), 04 (Denial) or AU (Acquired/Unallocated) should be sent instead of a FROI UR.

Following the FROI 00, 04 or AU, a SROI UR is allowed **IF** the Date Claim Administrator Had Knowledge of the Injury (DN0041) is on or before the R1 cutoff date of 12-27-18. If the SROI UR is not reported, then any applicable SROI per the Event Table and Sequencing edits is allowed to be reported. Please review the Event Table for details.

Indiana expects the FROI and SROI UR report submissions to be completed by 3/1/19. If a claim re-opens after 3-1-19, then the same Report Trigger should be followed.



Trading Partner and EDI Vendor Testing

Trading Partners & EDI Service Provider (Vendor) Testing

Trading Partner testing begins 8/24/2018 and will continue through 12/13/2018.

Trading Partner Profile registrations must be submitted and approved prior to testing.

Indiana will allow registrations through 11/01/2018.

Trading Partners & EDI Service Provider (Vendor) Testing

Testing for Indiana will be handled by Indiana's EDI Claims vendor, ISO - Workers Compensation Standards division

All Trading Partners will be required to complete the published Indiana test plan to verify their ability to file electronically with Indiana prior to the EDI Claims Release 3.1 implementation date.

For Trading Partners who are currently or are planning to file EDI Claims Release 3.1 FROI/SROI reports through an EDI vendor, the vendor will be required to complete the test plan on behalf of all their clients. Once the vendor is approved for production, the Trading Partner will also be approved for production.

Trading Partners & EDI Service Provider (Vendor) Testing

For Trading Partners who are planning to file EDI Claims Release 3.1 FROI/SROI reports without using an EDI Vendor, they will be required to complete the test plan on their own behalf.

Indiana ISO Jurisdiction Web Entry users are not required to test.

Trading Partners & EDI Service Provider (Vendor) Testing

The 5 Test Stages of Testing

Stage 1: EDI Trading Partner Profile Electronic Registration

Stage 2: Pretest and Technical Capability Test

Stage 3: Business Content Test (FROI)

Stage 4: Business Content Test (SROI)

Stage 5: Indiana Test Completion (FROI and SROI)

Trading Partners & EDI Service Provider (Vendor) Testing

The 5 Test Stages of Testing

Stage 1: EDI Trading Partner Profile Electronic Registration

Complete the electronic EDI Trading Partner Profile registration. Please note that the information on the registration is used for both testing and production reporting.

Stage 2: Pretest and Technical Capability Test

Complete the technical capability test to ensure that the file structure is valid per the IAIABC EDI Claims Release 3.1 standards. This portion ensures that the Header Record is populated with the correct Sender ID, the Receiver ID and other data validations.

The file structure/format for the test files must be correct.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 3: Business Content Test (FROI)

The business content test for FROI requires at a minimum the FROI MTCs, **(00, 01, 02, 04, UR)** to be tested in accordance with the Indiana R3.1 Test Plan.

When considering the FROI reports that will be tested, it is recommended that a review of Stage 4 SROI testing be performed at the same time to determine if additional FROI test reports are needed to establish claims in order to successfully complete the SROI testing.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 3: Business Content Test (FROI)

Upon completion of Batch 1 and 2 of FROIs, the Trading Partner and/or their EDI Vendor should request testing review and approval of the minimum transactions listed from Indiana, by submitting the **Indiana EDI Testing Feedback Request List R3.1** to Indiana's EDI email.

Indiana will then notify the Trading Partner by email if the Trading Partner has passed the FROI tests and is approved to proceed with SROI testing.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 4: Business Content Test (SROI)

The business content test for SROI requires at a minimum the SROI MTCs **(02 04 CA CB CD EP ER FN IP NT PD PY RB SX UI UR)**

to be tested in accordance with the Indiana R3.1 Test Plan.

Because the SROI testing builds on the accepted FROIs, ensure that there is an adequate number of FROIs reported in Stage 3 to meet the SROI testing needs in Stage 4. For SROI reporting, special attention should be made for sequencing.

Upon completion of SROI minimum test transactions, the Trading Partner and/or their EDI Vendor must request testing review and approval from Indiana by submitting the **Indiana EDI Testing Feedback Request List R3.1** to Indiana's EDI email.

Trading Partners & EDI Service Provider (Vendor) Testing

Stage 5: Business Content Test (SROI)

After completing Stages 1 through 4, and being approved for Production, Stage 5 will complete the final steps will be completed to setup for Production Status for FROI and SROI reporting effective January 1, 2019.

Test/Production Indicator:

The Test/Production Indicator on the Header Record will change from T (Test) to P (Production) to indicate that the records contained in the file are production data.

Trading Partner Profiles must be kept up-to-date.

EDI Resources
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Implementation Guide
Implementation Info
Training Information
FAQ
Web Links
EDI Vendors
Trading Partner Profile
Trading Partner Registration
Registration Instructions

Welcome to Indiana Worker's Compensation Board (IN WCB) EDI Website

IN WCB previously used the IAIABC EDI Claims Release 1 standards for reporting of First Reports of Injury (FROI). IN WCB First Reports of Injury (FROI) EDI Claims Release 1 is replaced with the implementation of IAIABC EDI Claims Release 3.1.

IN WCB IAIABC EDI Claims Release 3.1 reporting includes the mandatory reporting for both First Reports of Injury (FROI) and Subsequent Reports of Injury (SROI) effective January 1, 2019.

Questions regarding IN WCB specific requirements for IAIABC EDI Claims Release 3.1 reporting should be sent to INWCBEDI@iso.com

1. To report electronically with IN WCB, complete a Trading Partner Profile Registration. Click on the link location in the navigation bar on the left.
2. To receive important announcements via email, join IN WCB's EDI Mailing List. Please send an email to INWCBEDI@iso.com to be added to the list. Note: Contacts indicated on the Trading Partner Registration are automatically added.
3. Please check the 'What's New' section of this website located on the navigation bar on the top for 'News & Updates'.

For more information about EDI standards nationally:

International Association of Industrial Accident Boards and Commissions (IAIABC)
Phone: +1 (608) 841-2017
www.iaiaabc.org

Indiana EDI Claims Website Overview

Where can I find the information that I need to assist with the EDI reporting to Indiana?

Help with EDI?

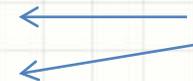


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FAQs?



Requirements?



Other Support Information?



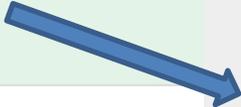
Web Links?





- Home
- What's New
- About ISO

- EDI Resources**
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EDI Claims Release 3.1 Requirements

Version 1.0 - Revision Date: 6/20/2018

Download the Requirements by clicking on the links below.

The Requirements are housed in three tables:

Event Table

This table relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information.

Element Requirements

This table lists the individual data element requirements

Edit Matrix

This table provides information on the edits that will apply to each data element and the edits that will be applied based on the population of the data element.

For EDI Claims Release 1.0 Requirements, please visit the Worker's Compensation Board of Indiana page: <https://www.in.gov/wcb/2370.htm>

- Trading Partner Profile**



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EDI Implementation Guide

Version 1.0 - Revision Date: 6/20/2018

Download the Guide by clicking on the link below.

-  [EDI Implementation Guide](#)

Trading Partner Profile

- Trading Partner Registration
- Registration Instructions



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Implementation Information

IN WCB Master Sender ID - FROI/SROI

Please click on the following link for information on the Master Sender ID.

- [IN WCB Master Sender ID.pdf](#)

Implementation Schedule

Please click on the following link for the IN WCB Transition from IAIABC EDI Claims Release 1 to Claims Release 3.1 Timeline Summary.

- [IAIABC-INWCB-ISO Transition Timelines-R1 to R3.1.pdf](#)

Trading Partner Profile

- Trading Partner Registration

- Registration Instructions

INDIANA WORKER'S COMPENSATION BOARD (IN WCB)



Master FEIN and Postal Code for EDI Reporting

Master FEIN: 356000158
Master Postal Code: 462042753

For the FROI (148) and SROI (A49) Header (HD1) Record:

- Trading Partners should populate the Sender ID-DN0098 with the Trading Partner's FEIN and Postal Code established per the Trading Partner Registration.
- Trading Partners should populate the Receiver ID-DN0099 with IN WCB'S Master FEIN: 356000158 and Master Postal Code: 462042753.

For the Acknowledgment (AKC) Header (HD1) Record:

- IN WCB will populate Sender ID-DN0098 with IN WCB'S Master FEIN: 356000158 and Master Postal Code: 462042753
- IN WCB will populate Receiver ID-DN0099 with the Trading Partner's FEIN and Postal Code established per the Trading Partner Registration.

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News and Updates

DESCRIPTION	DATE POSTED
 Indiana Claims Release 3.1 Electronic Data Interchange (EDI) Webinar Information Session	8/6/2018
 Trading Partner Profile Registration for EDI Claims Release 3.1 is Now Available	7/24/2018
 INWCB EDI Claims Release 3.1 Announcement - New EDI Website, EDI Requirement Tables and Implementation Guide are Now Available!	6/21/2018
 INWCB EDI Claims Release 3.1 Announcement	4/11/2018



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- [Registration Instructions](#)

About ISO

Indiana Worker's Compensation Board (IN WCB) has selected ISO's Workers Compensation Solutions division to help implement our FROI and SROI EDI Reporting. In addition to managing the technical aspects of data submittal, ISO will be your main contact for implementation, technical requirements, and any questions you may have.

Since 1971, ISO has been a leading source of information about property/casualty insurance risk. For a broad spectrum of commercial and personal lines of insurance, ISO provides: statistical, actuarial, underwriting, and claims information and analytics; compliance and fraud identification tools; policy language; information about specific locations; and technical services. ISO serves insurers, reinsurers, agents and brokers, insurance regulators, risk managers, and other participants in the property/casualty insurance marketplace. ISO is a Verisk Analytics business.



INDIANA'S EDI CLAIMS RELEASE 3.1 REQUIREMENTS OVERVIEW

EDI Claim Requirements Overview

Trading Partners should have an understanding of:

- What data format should be used?
- What EDI reports should be filed and when?
- What data is needed on the EDI reports?
- What edits will be applied to the EDI data?
- How Indiana communicates the status of EDI reports?
- What are the options for EDI submissions?

What data format should be used?

Indiana currently requires First Reports of Injury (FROI) in the IAIABC EDI Claims Release 1 standard.

They will transition EDI reporting for FROIs, and will add Subsequent Reports of Injury (SROIs) using the IAIABC EDI Claims Release 3.1 standard.

What EDI reports should be filed and when?

Indiana Event Table:

- Comprised of:
 - Indiana Event Table Change Log
 - Form to MTC Crosswalk
 - FROI Event Table
 - SROI Event Table
 - SROI Periodic Event Table
- Defines the circumstances under which the claim information must be sent
- Sets the timeframes for sending the information
- Based on legislative mandates

Form to MTC Crosswalk

Indiana sample of Form to MTC Crosswalk illustrates

- the MTC EDI equivalent of previously filed forms
- if the MTC will be accepted electronically

First Report of Injury (FROI - 148 & R21) and Subsequent Report of Injury (SROI A49 & R22)
Refer to Systems Rules - Transmissions

Report Type	Paper Equivalent Form(s)	Comments	MTC	MTC Description	MTC Definition	Will this report be accepted electronically? (Yes/No)
FROI	Form 34401		00	Original	The original/initial first report transmitted between partners, including the re-transmission of a first report that was rejected due to a critical error.	Yes
FROI	N/A		01	Cancel Entire Claim	The original first report was sent in error.	Yes
FROI	Form 34401 & 53914		04	Denial	The entire claim is being denied. E.g. Concurrently reporting a new claim while denying it in its entirety.	Yes
FROI			CO	Correction	Corrected data element values are transmitted in response to an acknowledgment containing non-critical errors.	No
FROI		Must file initial FROI 00. If extension is needed, it is to be requested via a SROI UI after FROI 00 accepted.	UI	Under Investigation	A determination has not yet been made as to whether this is a compensable claim. This MTC may be sent as the First Report.	No

What EDI reports should be filed and when?

Event Table: FROI Tab

Example of First Report of Injury

Reporting Requirements

Maintenance Type Code (MTC) 00 - Original

What EDI reports should be filed and when?

FROI Event Table:

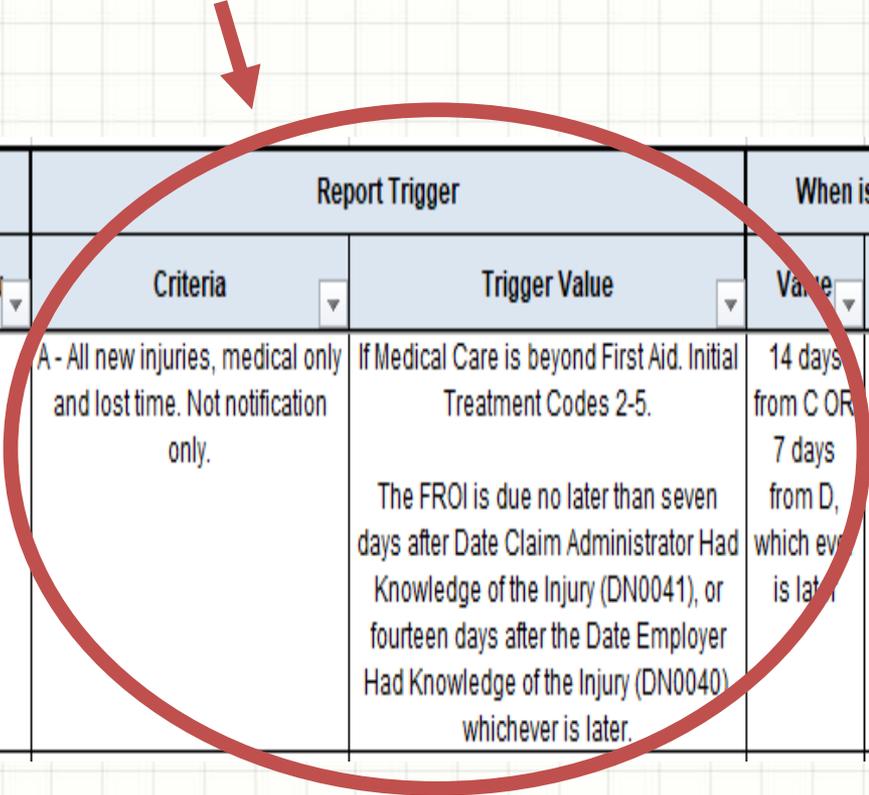
Indiana requires the submission of a *FROI 00 Original* Effective 1/1/2019

Report Type	Maintenance type		Event Rule			Report Trigger		When is the Report Due?			Statute
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From	
FROI	00	Original		1-1-19		A - All new injuries, medical only and lost time. Not notification only.	If Medical Care is beyond First Aid. Initial Treatment Codes 2-5. The FROI is due no later than seven days after Date Claim Administrator Had Knowledge of the Injury (DN0041), or fourteen days after the Date Employer Had Knowledge of the Injury (DN0040), whichever is later.	14 days from C OR 7 days from D, which ever is later	C	C OR D	*Indiana Code 22-3-4-13

What EDI reports should be filed and when?

FROI Event Table:

FROI 00 Report Trigger Value indicates that a report should be sent for any claim where Medical Care is beyond First Aid. Initial Treatment Codes 2-5.



Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From	
FROI	00	Original	2	1-1-19		A - All new injuries, medical only and lost time. Not notification only.	If Medical Care is beyond First Aid. Initial Treatment Codes 2-5. The FROI is due no later than seven days after Date Claim Administrator Had Knowledge of the Injury (DN0041), or fourteen days after the Date Employer Had Knowledge of the Injury (DN0040) whichever is later.	14 days from C OR D 7 days from D, which ever is later	C	C OR D	*Indiana Code 22-3-4-13

What EDI reports should be filed and when?

FROI Event Table:

The FROI is due no later than seven days after Date Claim Administrator Had Knowledge of the Injury (DN0041), or fourteen days after the Date Employer Had Knowledge of the Injury (DN0040), whichever is later.

Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From	
FROI	00	Original	2	1-1-19		A - All new injuries, medical only and lost time. Not notification only.	If Medical Care is beyond First Aid. Initial Treatment Codes 2-5.	14 days from C OR 7 days from D, whichever is later	C	C OR D	*Indiana Code 22-3-4-13

The FROI is due no later than seven days after Date Claim Administrator Had Knowledge of the Injury (DN0041), or fourteen days after the Date Employer Had Knowledge of the Injury (DN0040), whichever is later.

FROI & SROI 02 Events

Trigger Criteria Codes were added to clarify 02 Change reporting timelines:

Timeline is described. 02 change is due when data described on their Element Requirement table changes up to the last accepted FN (Final). No 02 should be sent after an accepted FN.

Report Type	Maintenance Type		Event Rule			Report Trigger	
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value
FROI	02	Change	2	1-1-19		R = 02 Change timeline: FROI 02 is due when a <i>reportable change*</i> occurs on the Claim Administrator's database until <i>Report Trigger Value</i> is reached	B = 0 days after last accepted FN. Aka: No 02 after the FN

FROI 04 Event

Indiana requires a FROI 04 to be reported when

- a new claim is denied in its entirety (W1). No previous 00 or AU

Report Type	Maintenance Type		Event Rule			Report Trigger	
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value
FROI	04	Denial	2	1-1-19		W1 - Claim initially denied in its entirety.	The FROI 04 Denial is due no later than seven days after Date Claim Administrator Had Knowledge of the Injury (DN0041), or fourteen days after the Date Employer Had Knowledge of the Injury (DN0040), whichever is later, if no FROI 00 was previously reported.

FROI UR Event for Legacy Claims

Amy has previously covered what Indiana considers a legacy claim, and the reporting of a FROI or SROI UR. The details she covered can be found here in the Event Table.

Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From
FROI	UR	Update Report	2	1-1-19		J = Jurisdiction Defined	<p>A Legacy claim is any claim previously reported in R1 for which a Jurisdiction Claim Number (JCN) has been assigned.</p> <p>The FROI UR is required prior to submitting any SROI in R3.1 for ONLY Open or Re-opened Legacy claims. The FROI UR is intended to match a claim previously reported and to set the picture for R3.1 reporting.</p> <p>If a FROI R1 MTC was required and was not properly filed with INWCB, a Legacy Claim would not have been created for which to match to a FROI UR.</p> <p>If a FROI R1 MTC was required and was not properly filed with INWCB, then the applicable FROI 00, 04 or AU should be sent instead of a FROI UR.</p> <p>Note: IN WCB expects the FROI UR report submissions to be completed by 3-1-19 for these claims. If a claim re-opens after 3-1-19, then the same Report Trigger shown above should be followed.</p>	N/A	N/A	H-Immediate 1-1-19

What EDI reports should be filed and when?

Event Table – SROI Tab

Example of a Subsequent Report of Injury
Reporting Requirements

MTC IP – Initial Payment

What EDI reports should be filed and when?

SROI Event Table:

Indiana requires the submission of a *SROI IP Initial Payment*

Effective 01/01/2019

Report Type	Maintenance Type		Event Rule		
	Code	Description	Criteria	From	Thru
SROI	IP	Initial Payment	2	1-1-19	

What EDI reports should be filed and when?

SROI Event Table:

SROI IP Initial Payment Report Trigger Value indicates that a report should be sent if an Initial payment of indemnity benefits has been made.

The report is due within 14 calendar days from the Initial Date Disability Began, but no later than 29 days from the Initial Date Disability Began.

Report Trigger		When is the Report Due?		
Criteria	Trigger Value	Value	Due Ty	From
M = MTC Defined	Initial payment of indemnity benefits has been made. IP due within 14 days of the Initial Date of Disability, but no later than 29 days from the Initial Date of Disability.	14 days	C	B-Date of Disability or MTC UI must be filed.

What EDI reports should be filed and when?

SROI FN Final - Report Trigger Value indicates that an FN report should be sent when the claim is closed, and no further indemnity or medical payments are anticipated. Indiana is also expecting an amended SROI FN (Final) after an initial SROI FN filing, to update any additional indemnity or medical payments on the Closed claim.

The initial FN is due 14 calendar days after claim closure, and a subsequent FN is due upon any addition payment on a closed claim.

Report Trigger		When is the Report Due?		
Criteria	Trigger Value	Value	Due Ty	From
M = MTC Defined	Claim closed, no further indemnity or medical payments anticipated	14 days	C	J-Report Trigger
J = Jurisdiction Defined	Indiana is expecting an amended SROI FN (Final) after an initial SROI FN filing to update any additional indemnity or medical payments on the Closed claim.	NA	NA	H - Immediate

What EDI reports should be filed and when?

Indiana has recently changed their Trigger Value and Report Due 'From' Date for both the first and second SROI UI-Under Investigation (used to request an extension to determine compensability), and we wanted to bring this to your attention. The next version of Indiana's tables will be published soon and these changes will be included.

Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?		
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From
SROI	UI	Under Investigation	2	1-1-19		1st request for an extension to determine if a claim is compensable	<p>UI-1: The Claim Administrator may have up to 60 days from the Date Employer Had Knowledge of the Injury (DN0040) to make a compensability decision (No initial payment or equivalent and no denial has been filed), IF a UI is filed within the first 30 days to request an extension.</p> <p>The Claim Administrator has up to 30 days after the Date Employer Had Knowledge of the Injury (DN0040) to request a 30 day extension. The UI can be used to request a 30 day extension.</p>	30	C	C = From Employer Knowledge of Injury
SROI	UI	Under Investigation	2	1-1-19		2nd accepted request for an extension to determine if a claim is compensable	<p>UI-2: If no compensability determination can be made within the first 60 days from the Date Employer Had Knowledge of the Injury (DN0040), a 2nd UI request should be triggered by the end of the 30 day extension, and a Petition form as set out in IC 22-3-3-7(b)(1-4), must also be sent to the Board.</p> <p>A TR will be received for the 2nd UI; however, this does <u>not</u> imply that IN will <u>not</u> grant an extension.</p> <p>IN will manually review the 2nd UI extension request and Petition form. Please be advised that Indiana may grant the extension for less time than was requested.</p> <p>The TR will not stop any additional filings on this claim.</p>	60	C	C = From Employer Knowledge of Injury

What EDI reports should be filed and when?

SROI Periodic Event Table

Indiana does not require/accept any
Periodic MTCs

What data is needed on the EDI reports?

The Element Requirement Table indicates the data that is needed on the EDI reports.

It defines each Data Element requirement for each transaction (FROI or SROI) at the Maintenance Type Code (MTC) level.

What data is needed on the EDI reports?

The Element Requirement Table contains 9 worksheets:

- Indiana Change Log
- FROI Element Requirements
- FROI 02 Exceptions
- FROI Conditions
- SROI Element Requirements
- SROI 02 Exceptions
- SROI Conditions
- Event Benefit Segment Requirements
- Event Benefit Conditions

What data is needed on the EDI reports?

Each MTC and Data Element has a Requirement Code assigned. Requirement Codes express Indiana's requirement severity by data element and report type (FROI or SROI MTCs).

Legend for Requirement Code (Description) = resulting Application Acknowledgment Code

Requirement Code	Result of Failed Element Requirement Edit
F (Fatal)	TR (Transaction Rejected)
M (Mandatory)	TR (Transaction Rejected)
MC (Mandatory/Conditional)	TR (Transaction Rejected)
E (Expected)	TE (Transaction Accepted with Errors)
EC (Expected/Conditional)	TE (Transaction Accepted with Errors)
AA (If Applicable/Available Transaction Accepted)	TA (Transaction Accepted)
AE (If Applicable/Available Transaction Accepted with Errors)	TE (Transaction Accepted with Errors)
AR (If Applicable/Available Transaction Rejected)	TR (Transaction Rejected)
NA (Not Applicable)	TA (No error messages may be applied)
X (Exclude)	TA (No error messages may be applied)
For Benefit Segment Data Element Requirement Table	
R (Restricted)	TR (Transaction Rejected)
RC (Restricted/Conditional)	TR (Transaction Rejected)

What data is needed on the EDI reports?

FROI Element Requirement Table (ERT):

Each MTC and Data Element has a Requirement Code assigned. This example shows F: Fatal and M: Mandatory on specific MTCs. Both will cause the transactions to be rejected if the data elements are missing or invalid.

DN#	DATA ELEMENT NAME	FORMAT			00	01	02				04	AQ	AU	UR - Update Report	
							Migration Consideration	Match Data	02 Requirement Code	A (Add)					U (Update)
								Reportable Change							
0001	Transaction Set ID	3 A/N	NI		F	F	F	B	B	B	B	F	F	F	F
0002	Maintenance Type Code	2 A/N	NI	Y	F	F	F	B	B	B	B	F	F	F	F
0003	Maintenance Type Code Date	DATE	NI	Y	F	F	F	B	B	B	B	F	F	F	F
0004	Jurisdiction Code	2 A/N	NI		F	F	F	B	B	B	B	F	F	F	F
0005	Jurisdiction Claim Number	25 A/N	NI	Y	mc	m	m	B	N	B	B	mc	NA	NA	NA
0006	Insurer FEIN	9 A/N	NI	Y	m	m	m	N	K	B	N	m	m	m	m
0012	Claim Administrator City	15 A/N	NI		M	M	MC	N	Y	B	N	M	M	M	M
0013	Claim Administrator State Code	2 A/N	NI		M	M	MC	N	Y	B	N	M	M	M	M
0014	Claim Administrator Postal Code (Mailing)	9 A/N	NI		M	M	MC	N	K	B	N	M	M	M	M
0015	Claim Administrator Claim Number (Key Match)	25 A/N	NI	Y	F	F	F	B	K	B	B	F	F	F	60F
0016	Employer FEIN	9 A/N	NI		M	NA	MC	K	K	B	N	MC	M	M	M

What data is needed on the EDI reports?

Element Requirement Table:

This example shows several DN's with a Requirement Code NA (Not Applicable) for MTC 01 'Cancel Entire Claim'. If the data is sent for a DN defined as NA, no error will be returned if the data is invalid.

DN#	DATA ELEMENT NAME	FORMAT	00	01	02				04	AQ	AU	UR - Update Report			
					Reportable Change	02 Requirement Code	A (Add)	U (Update)					D (Delete)	R (Remove)	
			Migration Consideration	Match Data											
0015	Claim Administrator Claim Number (Key Match)	25 A/N	NI	Y	F	F	F	B	K	B	B	F	F	F	F
0016	Employer FEIN	9 A/N	NI		M	NA	MC	K	K	B	N	MC	M	M	M
0021	Employer Physical City	15 A/N	NI		M	NA	MC	N	Y	B	N	M	AR	M	AR
0022	Employer Physical State Code	2 A/N	NI		M	NA	MC	N	Y	B	N	M	AR	M	AR
0023	Employer Physical Postal Code	9 A/N	NI		M	NA	MC	N	K	B	N	M	AR	M	AR
0025	Industry Code (NAICS) - IN requires 5 digit, accepts 6	6 A/N	NI		M	NA	MC	N	Y	B	N	M	AR	M	AR
0027	Insured Location Identifier	15 A/N	NI		AR	NA	MC	Y	Y	B	Y	AR	AR	AR	AR
0028	Policy Number Identifier	18 A/N	NI		MC	NA	MC	Y	Y	B	N	MC	AR	MC	AR
0029	Policy Effective Date	DATE	NI		MC	NA	MC	Y	Y	B	N	MC	AR	MC	AR
0030	Policy Expiration Date	DATE	NI		MC	NA	MC	Y	Y	B	N	MC	AR	MC	AR
0031	Date of Injury	DATE	NI	Y	m	m	m	B	K	B	B	m	m	m	m

What data is needed on the EDI reports?

Conditions are defined on a separate table:

- Conditional Requirement Code
- Data Element
- Business Condition(s)
- Technical Condition(s)

Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
MC	04	0016	Employer FEIN	Required for FROI MTC 04 Denial, unless Full Denial Reason Code (DN0198) = 3E (No Coverage - No policy in effect on the date of accident) or 3D (No Coverage - No jurisdiction).	Required for FROI MTC 04 Denial, unless Full Denial Reason Code (DN0198) = 3E or 3D.

What data is needed on the EDI reports?

Element Requirement – 02 Changes:

Claim Administrators must identify the applicable Change Reason Code (Add, Update, Delete or Remove), in the Change variable segment, and they must identify the Change Data Element/Segment Number of the data that is changing.

If the data that is changing is IN a variable segment, you will send the Variable Segment Counter data number (DN). These are the “Number Of” Data elements.

If the data that is changing is NOT in a variable segment, you will send the DN data number for the data element that is changing.

<i>On FROL SROL Both</i>	REC	DN#	DATA ELEMENT NAME	FORMAT	00	01	02	04	AQ	AU	UR - Update Report					
							Reportable Change									
					Migration Consideration	Match Data	02 Requirement Code									
							A (Add)									
							U (Update)									
							D (Delete)									
							R (Remove)									
Change Data Elements																
Both	R21	0412	Change Data Element/Segment Number	4 A/N	NI	X	X	M	B	B	B	B	X	X	X	X
Both	R21	0413	Change Reason Code	1 A/N	NI	X	X	M	B	B	B	B	X	X	X	X

What data is needed on the EDI reports?

Element Requirement – 02 Changes:

Here is an example of a completed SROI Changed Data variable segment with 6 changes noted. Five (5) of the data elements are being added and one (1) is being Updated. The Update is to the “Benefits” segment, so the DN number sent in the Change segment is for the Number of Benefits (DN0288).

	<i>Change Data Elements</i>		6 occurrences
0412	Change Data Element/Segment Number	0201	Anticipated Wage Loss Indicator
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0068	Initial Return to Work Date
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0403	Initial RTW Type Code
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0404	Initial RTW Physical Restrictions Indicator
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0405	Initial RTW With Same Employer
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0288	Number of Benefits
0413	Change Reason Code	U	Update

What data is needed on the EDI reports?

Element Requirement – 02 Changes:

A jurisdiction must identify if they want or do not want an 02 Change sent for each applicable Change Reason Code - Add, Update, Delete or Remove, for every data element on both the FROI and SROI. The way they express those requirements is by using Reportable Change Codes, to identify when to send an MTC 02 Change, and on what transaction, FROI or SROI.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	00	01	02				04	AQ	AU	UR - Update Report			
							Migration Consideration	Match Data	02 Requirement Code	Reportable Change							
									A (Add)	U (Update)	D (Delete)	R (Remove)					
Both	148	0044	Employee First Name	15 A/N	NI	Y	m	m	m	N	K	B	N	m	m	m	m
FROI	148	0048	Employee Mailing City	15 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
FROI	148	0049	Employee Mailing State Code	2 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
FROI	148	0050	Employee Mailing Postal Code	9 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
Both	148	0052	Employee Date of Birth	DATE	NI	Y	m	m	m	N	K	B	N	m	AR	m	AR
FROI	148	0053	Employee Gender Code	1 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
Both	148	0054	Employee Marital Status Code	1 A/N	NI		NA	NA	NA	N	N	B	N	NA	NA	NA	NA
Both	148	0055	Employee Number of Dependents	2 N	NI		AR	NA	MC	J	J	B	N	AR	AR	AR	AR
Both	148	0056	Initial Date Disability Began	DATE	NI		AR	NA	MC	J	J	B	J	AR	AR	AR	AR
Both	148	0057	Employee Date of Death	DATE	NI		MC	NA	MC	J	J	B	J	MC	AR	MC	AR
Both	148	0058	Employment Status Code	2 A/N	NI		AR	NA	MC	K	K	B	N	AR	AR	AR	AR

What data is needed on the EDI reports?

REPORTABLE CHANGE CODES are as follows, some of which may not be utilized on the Indiana tables :

Reportable Change Codes: Used in MTC 02 Reportable Change columns labeled A (Add) , U, (Update), R (Remove) and D (Delete)

Note: See IAIABC Release 3.1 Guide 02 Change Processing Rules in Section 4 for the full definitions.

B: Restricted - IAIABC Defined No Change Allowed

N: No Change Allowed - Will Reject if Present

H: No Change Required - Will Not Reject if Present

K: Required Change on FROI

KG: Required Change on FROI with Exception

Y: Required Change on FROI or on SROI not both

YG: Required Change on FROI or on SROI not both with Exception

I: Required Change on Either FROI or SROI not both – Claim Administrator Determines

IG: Required Change on Either FROI or SROI not both with Exception – Claim Administrator Determines

J: Required Change by Transaction Type - Change on SROI if Accepted otherwise Change on FROI

JG: Required Change by Transaction Type with Exception - Change on SROI if Accepted otherwise Change on FROI

Note: For Y, YG, J, JG, K and KG: Refer to the first column 'On FROI, SROI or Both' of the FROI Element Requirements and SROI Element Requirement Table for the indication of location of DN's. If value is not = Both then there is an indication of FROI (only on FROI) and SROI (only on SROI).

02 Exceptions

Exceptions are described in the *FROI* or *SROI 02 Exceptions* tab for all reportable change codes ending with “G”.

Examples from Indiana’s completed SROI 02 Exceptions Tab are below:

02 MTC		SROI DATA ELEMENT			
Req Code	Change Reason Code	DN#	DATA ELEMENT NAME	EXCEPTIONS	IAIABC NOTE
YG	A	0085	Benefit Type Code	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP).	refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 implementation guide
YG	U	0218	Payment Amount	Data in the Payment Segment can only be updated related to the last reported payment.	refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 implementation guide
YG	A	0225	Recovery Amount	Only Recovery Codes (DN0226) 830 (Overpayment Recovery) or 880 (Voided Indemnity Benefit Check Recovery) are allowed to be Added with SROI MTC 02 to support the reason for a Benefit Type Code (DN0085) to be Deleted	

What data is needed on the EDI reports?

Element Requirement – 02 Change Conditions:

The 02 Requirement Code column will indicate if a DN is F (Fatal) or M (Mandatory) and also reflects a highlighted MC to indicate that a condition applies.

DN#	DATA ELEMENT NAME	FORMAT	00	01	02				04	AQ	AU	UR - Update Report			
					Migration Consideration	Match Data	02 Requirement Code	A (Add)					U (Update)	D (Delete)	R (Remove)
									Reportable Change						
0031	Date of Injury	DATE	NI	Y	m	m	m	B	K	B	B	m	m	m	m
0032	Time of Injury	HHMM	NI		AR	NA	MC	Y	Y	B	N	AR	AR	AR	AR
0033	Accident Site Postal Code	9 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
0035	Nature of Injury Code	2 A/N	NI	Y	m	NA	m	N	Y	B	N	m	AR	m	AR
0037	Cause of Injury Code	2 A/N	NI	Y	m	NA	m	N	Y	B	N	m	AR	m	AR
0039	Initial Treatment Code	2 A/N	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
0040	Date Employer Had Knowledge of the Injury	DATE	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
0041	Date Claim Administrator Had Knowledge of Injury	DATE	NI		M	NA	MC	Y	Y	B	N	M	AR	M	AR
0044	Employee First Name	15 A/N	NI	Y	m	m	m	N	K	B	N	m	m	m	m

02 Conditions

A light blue MC (Mandatory Conditional) requirement code is pre-populated in the *02 Requirement Code* column to support the *02 Change Process*.

The example below illustrates an “02” in the MTC column to distinguish this condition is for the 02 MTC only.

When a (any) data element number is indicated in the *Change Data Element/Segment Number* (DN0412), it becomes mandatory unless it is being removed with *Change Reason Code* (DN0413) is R – Remove.

FROI DATA ELEMENT				
Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)
MC	02		varies by DN0412 Change Data Element/Segment Number in the Change Data Elements segment	mandatory when DN0412 Change Data Element/Segment Number = DN# in column B and DN0413 Change Reason Code = A (added) or U (Updated). NOT R (removed)

02 Conditions

As previously mentioned, when the MC is shaded in a light yellow, this is an indication that Indiana has changed the shading from blue to yellow for the MC, and has added a condition to the Conditions tab for MTC 02.

Indiana has grouped several DN's together for the purposes of editing the 02 Changes. These grouped data elements are expected to all be present on the 02 transaction if one of them is being changed.

The MTC column distinguishes this condition is for the 02 MTC only, and where applicable, also indicates the Group number.

Req Code	MTC
MC	SROI 02 (Group 1)

02 Conditions

The example illustrates “SROI 02 Group 1” for the Initial RTW Date and the other 3 related data elements, Initial RTW Type Code, Initial RTW Physical Restrictions Indicator, and the Initial RTW With Same Employer Indicator (if applicable).

Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
NEW Grouping Edits for MTC 02					
MC	SROI 02 (Group 1)	0403	Initial RTW Type Code	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator) and 0405 (Initial RTW With Same Employer Indicator).	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) then DN0403 (Initial RTW Type Code) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0068 (Initial RTW Date) or = 0404 (Initial RTW Physical Restrictions Indicator) or = 0405 (Initial RTW With Same Employer Indicator).
MC	SROI 02 (Group 1)	0068	Initial RTW Date	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator) and 0405 (Initial RTW With Same Employer Indicator).	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) then 0068 (Initial RTW Date) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0404 (Initial RTW Physical Restrictions Indicator) or = 0405 (Initial RTW With Same Employer Indicator).
MC	SROI 02 (Group 1)	0404	Initial RTW Physical Restrictions Indicator	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator) and 0405 (Initial RTW With Same Employer Indicator).	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) then 0404 (Initial RTW Physical Restrictions Indicator) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0068 (Initial RTW Date) or = 0405 (Initial RTW With Same Employer Indicator).
MC	SROI 02 (Group 1)	0405	Initial RTW With Same Employer Indicator	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator) and 0405 (Initial RTW With Same Employer Indicator).	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) then 0405 (Initial RTW With Same Employer Indicator) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0404 (Initial RTW Physical Restrictions Indicator) or = 0068 (Initial RTW Date).

What edits will be applied to the EDI data?

The Indiana Edit Matrix defines the edits that will be applied to the EDI data. It conveys each specific edit that will be applied to each data element and provides the standard error messages associated with these edits.

Tables Located in the Edit Matrix

- **Indiana Edit Matrix Change Log:** summary of all changes to Edit Matrix from one version to another
- **DN-Error Message:** “standard” editing developed for Release 3.1 data elements and Indiana specific edits
- **Value Table:** expresses acceptable code values
- **Valid Value Detail Page 1 and 2:** two tables showing another version of the value table containing the code values, definitions what Indiana accepts
- **Match Data:** describes the data elements used to determine if the report will create a new claim or find an existing claim
- **Population Restrictions:** contains any restrictions applied to the data element(s)
- **Indiana Accident Site County List:** provides Indiana’s Accident Site County Names used for edit on DN0118 Accident Site County/Parish for error 111 (Must be valid content)
- **Sequencing Table:** contains MTC types with the order/sequence that they can be sent

DN Error Message Table

N in the “Jurisdiction will apply edits?” column: This indicates that the edits will not be applied to the data element at all.

An L for a data element means the corresponding “Logical” edit will be applied. If an L is grayed out, this means the edit will not be applied to the specific data element.

All other non-grayed L’s for that data element will be applied

Edit Matrix Population Legend:
 F = Edit applies to the data elements deemed essential for a transaction to be processed.
 L = *Not grayed out: Edit applies to the data elements based on the requirements indicated on the Element Requirement Table.
 *Grayed out: The standard edit will not be applied by the jurisdiction.

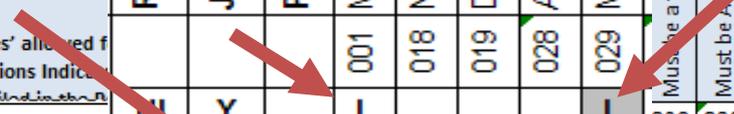
Relaxed Requirement Edits:
 L = Claim: requirement is limited to "conditional" on new claims in the R3 environment because the data may not (and may never be) available.
 V = Event: requirement is limited to "conditional" on claims where "initiated" or "reinstated" in R3 because the data may not have been available when time payments were started in the R1 environment.
 NI = No migration impact.

Jurisdiction will apply edits?:
 F = Essential data element; must be edited for successful transaction.
 Y = Yes - indicates that all edits marked for the data element will be based on conditions defined in the Element Requirement Table.
 N = No - indicates that none of the standard edits marked for the data element will be applied.

For Population Restrictions:
 For Data Elements that have certain 'population values' allowed for the data element, a "P" is indicated in the 'Population Restrictions Indicator' column.

IAIABC Data Element Name	Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present	Number of Days Worked must be 0-7	Days must be 0-6	All digits must be 0-9	Must be a valid date (CCYYMMDD)	Must be a valid date (CCYYMMDD)	Must be A-Z, 0-9, or spaces	Must be a valid time	Must be <= Date of Injury	Must be >= Date of Injury	Must be >= Initial Date Disability Began	Must be <= Employee Date of Death	Must be <= Maintenance Type Code Date	Must be >= Start Date	No match on database	All digits cannot be the same	Must be <= current date
Entire Batch		N		L				L												
Transaction Set ID		N		L				L												
Maintenance Type Code		Y	P	L			L													
Maintenance Type Code Date		Y	P	L								L								L
Jurisdiction Code																				

Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present	Number of Days Worked must be 0-7	Days must be 0-6	All digits must be 0-9	Must be a valid date (CCYYMMDD)	Must be a valid date (CCYYMMDD)	Must be A-Z, 0-9, or spaces	Must be a valid time	Must be <= Date of Injury	Must be >= Date of Injury	Must be >= Initial Date Disability Began	Must be <= Employee Date of Death	Must be <= Maintenance Type Code Date	Must be >= Start Date	No match on database	All digits cannot be the same	Must be <= current date
	Y		L				L												
	N		L				L												
	Y	P	L			L													
	Y	P	L								L								L



Valid Value Detail Page 1 & 2

Summary of all codes and descriptions. Greyed codes are not accepted by Indiana.

MAINTENANCE TYPE CODE (MTC's) (DN0002)			
FIRST REPORT:			
00	Original	AQ	Acquired Claim
01	Cancel	CO	Correction
02	Change	UI	Under Investigation
04	Denial	UR	Upon Request (Grandfathered)
AU	Acquired/Unallocated	UR	Update Report
SUBSEQUENT REPORT:			
02	Change	PX	Partial Suspension
04	Denial	RB	Reinstatement of Benefit
AB	Add Concurrent Benefit Type	RE	Reduced Earnings
AC	Acquisition/Indemnity Ceased	SU	Sync Up
AP	Acquired/Payment	SX	Full Suspension
CA	Change in Benefit Amount	UI	Under Investigation
CB	Change in Benefit Type	UR	Upon Request (Grandfathered)
CD	Compensable Death - No Known Dependents/Payees	UR	Update Report
CO	Correction	VE	Volunteer
EP	Employer Paid	AN	Annual
ER	Employer Reinstatement	BM	Bi-Monthly
FN	Final	BW	Bi-Weekly
IP	Initial Payment	MN	Monthly
NT	Narrative	QT	Quarterly
PD	Partial Denial	SA	Sub-Annual
PY	Payment Report		

Match Data

To match incoming reports to reports in Indiana's database for processing, Indiana identifies their primary "match" data element values indicated by 'P' for Primary.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		P
	0015	Claim Administrator Claim Number	S	S		
Claimant		Employee ID				
		§ Employee SSN – Preferred (DN0042) (1)	P	S		P
		§ Employee Green Card (DN0153)				
		§ Employee Employment Visa (DN0152)				
		§ Employee ID Assigned by Jurisdiction (DN0154) (2)	P	S		
		§ Employee Passport Number (DN0156)				
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
	0043	Employee Last Name	P	S	P	P
0044	Employee First Name	P	S	P	P	
0052	Employee Date of Birth	P	S			
Claim Administrator	0187	Claim Administrator FEIN				
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P
	0003	Maintenance Type Code Date		P	P	P

Match Data

Indiana's Secondary Match Data Elements are indicated by 'S' Secondary as shown. In the case of 'Existing Claims' JCN, MTC and MTC Date are used as Primary and the other DN's indicated with 'S' are used as Secondary.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		P
	0015	Claim Administrator Claim Number	S			
Claimant		Employee ID				
		§ Employee SSN – Preferred (DN0042) (1)	P	S		P
		§ Employee Green Card (DN0153)				
		§ Employee Employment Visa (DN0152)				
		§ Employee ID Assigned by Jurisdiction (DN0154) (2)	P	S		
		§ Employee Passport Number (DN0156)				
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
	0043	Employee Last Name	P	S	P	P
	0044	Employee First Name	P	S	P	P
0052	Employee Date of Birth	P	S			
Claim Administrator	0187	Claim Administrator FEIN				
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P
	0003	Maintenance Type Code Date		P	P	P

Match Data

Indiana also has a column on their Match Data Table to communicate the Primary and Secondary Match data that is used to match to Acquired Claims and Legacy Claims (claims accepted in Release 1).

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		P
	0015	Claim Administrator Claim Number	S	S		
Claimant		Employee ID				
		§ Employee SSN – Preferred (DN0042) (1)	P	S		P
		§ Employee Green Card (DN0153)				
		§ Employee Employment Visa (DN0152)				
		§ Employee ID Assigned by Jurisdiction (DN0154) (2)	P	S		
		§ Employee Passport Number (DN0156)				
		0206 Employee Security ID				
		0031 Date of Injury	P	S	P	P
		0043 Employee Last Name	P	S	P	P
	0044 Employee First Name	P	S	P	P	
	0052 Employee Date of Birth	P	S			
Claim Administrator	0187	Claim Administrator FEIN				
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P
	0003	Maintenance Type Code Date		P	P	P

Match Data

Additional Confirmation: When a match is found on the primary or secondary “match” data elements, these data elements can be used as ‘additional’ confirmation if the claim is a duplicate, for situations where there may be multiple accidents for the same Date of Injury.

Additional Match Data Confirmation: When a match is found on the primary or secondary “match” data elements per the table above, the following data elements can be used as ‘additional’ confirmation that the claim is a duplicate for situations where there may be multiple injuries for the same Date of Injury. When these fields are evaluated and a match is found then a duplicate error message will be returned on Element Number 0002 Maintenance Type Code with Element Error Number 057-Duplicate Transmission/Transaction. If the duplicate is not identified the claim will be processed.

Limitations: The limitation of changing one match data element does not apply to these ‘Additional’ match data elements. See *Match Data Rules in Section 4*.

The suggested optional data element names are listed below. Place an “A” (Additional) in the appropriate column in order to identify the additional match data.

Jurisdictions should not use the “additional” match data elements when processing an 02 change transaction because the 02 could be filed to change one or more of these elements.

			New	Existing	Acquired Claims (MTC UR/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Injury	0035	Nature of Injury	A	A		NA
	0037	Cause of Injury	A	A		NA

Match Data

For existing claims, only one Match Data Element can be changed on the same MTC 02 Change transaction, unless noted otherwise on the Category Legend. Error message *117- Match data value not consistent with value previously reported* will be returned resulting in a TR-Transaction Rejected acknowledgment.

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Claim	0004	Jurisdiction Code				
	0005	Jurisdiction Claim Number		P		P
	0015	Claim Administrator Claim Number	S	S		
Claimant		Employee ID				
		§ Employee SSN – Preferred (DN0042) (1)	P	S		P
		§ Employee Green Card (DN0153)				
		§ Employee Employment Visa (DN0152)				
		§ Employee ID Assigned by Jurisdiction (DN0154) (2)	P	S		
		§ Employee Passport Number (DN0156)				
	0206	Employee Security ID				
	0031	Date of Injury	P	S	P	P
0043	Employee Last Name	P	S	P	P	
0044	Employee First Name	P	S	P	P	
0052	Employee Date of Birth	P	S			
Claim Administrator	0187	Claim Administrator FEIN				
	0014	Claim Administrator Postal Code				
Employer	0016	Employer FEIN				
	0329	Employer UI Number				
	0230	Employer ID Assigned by Jurisdiction				
Insurer	0006	Insurer FEIN	P	S		S
Transaction	0295	Maintenance Type Correction Code				
	0296	Maintenance Type Correction Code Date				
	0002	Maintenance Type Code		P	P	P
	0003	Maintenance Type Code Date		P	P	P

Note: Transaction Grouping' is not applicable to the MTC 02 Change transaction.

Match Data

The limitation of changing one match data element does not apply to or consider the 'Additional' match data elements. 

			New	Existing	Acquired Claims (MTC AQ/AU)	Legacy Claims (MTC UR Update Report) for Existing Claims
Injury	0035	Nature of Injury	A	A		NA
	0037	Cause of Injury	A	A		NA

Category Legend as indicated by 'Applicable = Y' allows: Employee First Name (DN0043) and Employee Last Name (DN0044) to both change on the FROI 02 Change.

<i>Multiple element changes Category legend:</i>		<i>Applicable? (Y/N)</i>
Category	Conditions	
1	Employee First Name (DN0043) and Employee Last Name (DN0044)	Y
2	Insurer FEIN (DN0006) and Claim Administrator FEIN (DN0187)	N
3	Claim Administrator Postal Code (DN0014) and Claim Administrator FEIN (DN0187)	N
4	Employer FEIN (DN0016), Insurer FEIN (DN0006), and Claim Administrator FEIN (DN0187)	N
5	Employer FEIN (DN0016), Insurer FEIN (DN0006)	N
7	7 or greater - jurisdiction must define custom allowable combinations	N

Population Restrictions

Where "P" exists in the Population Restrictions Indicator column of the *DN-Error Message* table, there will be a corresponding entry in the Populations Restrictions table.

Sorted by Error Message & DN	<p>Edit Matrix Population Legend: F = Edit applies to the data elements deemed essential for a transmission/transaction to be processed. L = *Not grayed out: Edit applies to the data elements based on the requirements indicated on the Element Requirement Table. *Grayed out: The standard edit will not be applied by the jurisdiction</p> <p>Relaxed requirement edits: L = Claim: requirement is limited to "conditional" on new claims reported in R3 environment because the data may not (and may never be) available on legacy claims. V = Event: requirement is limited to "conditional" on claims where benefits are being "initiated" or "reinstated" in R3 because the data may not have been collected at the time payments were started</p>	Relaxed requirement edits (err msg 001 and 108)	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present	Number of Days Worked must be 0-7	Days must be 0-6
DN	IAIABC Data Element Name						
0002	Maintenance Type Code	NI	F	P	F		
0003	Maintenance Type Code Date	NI	F	P	F		
0004	Jurisdiction Code	NI	F	P	F		

Population Restrictions

Each *Population Restriction* contains:

➤ **The DN # and Name;**

Report or MTC	DN	Data Element Name	Population Restriction	Error Message Number	Error Message Text	Element Error Text (DN0291)
FROI & SROI	0003	Maintenance Type Code Date	Must be greater than or equal to Trading Partners EDI Implementation Date (20190101)	111	Must be valid content	Must be greater than or equal to EDI Imp Date
FROI & SROI	0003	Maintenance Type Code Date	Only one match data value can be changed in one transaction. See Match Data Table.	117	Match data value not consistent with value previously reported	Only 1 match data DN can change per transaction
FROI & SROI	0004	Jurisdiction Code	Value must be "IN"	111	Must be valid content	Value must be equal to "IN"
FROI & SROI	0005	Jurisdiction Claim Number	Only one match data value can be changed in one transaction. See Match Data Table.	117	Match data value not consistent with value previously reported	Only 1 match data DN can change per transaction
FROI & SROI	0006	Insurer FEIN	Only one match data value can be changed in one transaction. See Match Data Table.	117	Match data value not consistent with value previously reported	Only 1 match data DN can change per transaction

Population Restrictions

Each Population Restriction contains:

- Population Restriction, Element Error Number, Error Message Text and the Element Error Text

Report or MTC	DN	Data Element Name	Population Restriction	Error Message Number	Error Message Text	Element Error Text (DN0291)
FROI & SROI	0003	Maintenance Type Code Date	Must be greater than or equal to Trading Partners EDI Implementation Date (20190101)	111	Must be valid content	Must be greater than or equal to EDI Imp Date
FROI & SROI	0003	Maintenance Type Code Date	Only one match data value can be changed in one transaction. See Match Data Table.	117	Match data value not consistent with value previously reported	Only 1 match data DN can change per transaction
FROI & SROI	0004	Jurisdiction Code	Value must be "IN"	111	Must be valid content	Value must be equal to "IN"
FROI & SROI	0005	Jurisdiction Claim Number	Only one match data value can be changed in one transaction. See Match Data Table.	117	Match data value not consistent with value previously reported	Only 1 match data DN can change per transaction
FROI & SROI	0006	Insurer FEIN	Only one match data value can be changed in one transaction. See Match Data Table.	117	Match data value not consistent with value previously reported	Only 1 match data DN can change per transaction

Population Restrictions

Let's look at another example for
DN0154 Employee ID Assigned by Jurisdiction

Report or MTC	DN	Data Element Name	Population Restriction	Error Message Number	Error Message Text	Element Error Text (DN0291)
FR & SRC	0154	Employee ID Assigned by Jurisdiction	<p>Employee ID Assigned by Jurisdiction (DN0154)</p> <p>If DN0270-Employee ID Type Qualifier is = to A:</p> <p><u>Non-Legacy Claims 1/1/2019 and forward:</u> Report ITIN.</p> <p>If ITIN is not available then default to Employee ID Assigned by Jurisdiction (DN0154) with Date of Injury (DN0042) and add '1' to the end. Example DOI 6/15/2018 then value should be 061520181.</p> <p>ITIN: An Individual Taxpayer Identification Number (ITIN) is a tax processing number issued by the Internal Revenue Service. It is a nine-digit number that always begins with the number 9 and has a range of 70-88 in the fourth and fifth digit.</p>	111	Must be valid content	See Pop Restriction for format allowed.

Population Restrictions

Let's look at another example for
DN0154 Employee ID Assigned by Jurisdiction

Report or MTC	DN	Data Element Name	Population Restriction
FROI 02 & SROI 02 (Group 1)	0412	Change Data Element/Segment Number	If FROI MTC (DN0002) = 02 or SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or R (Remove) and any of the following DN's are present in Change Data Element/Segment Number (DN0412) then all DN's must be present in Change Data Element/Segment Number (DN0412): 0068 (Initial RTW Date) and 0403 (Initial RTW Type Code) and 0404 (Initial RTW Physical Restrictions Indicator) and 0405 (Initial RTW With Same Employer Indicator, only required if Initial RTW Type Code = A) must be present and each Change Reason Code (DN0413) must have the same value of either = A (Add) or = R (Remove).

Sequencing Table

Indiana's transaction sequence edits are defined on the *Sequencing table*. If the Apply Seq Edit is = Y, this indicates that the MTC is accepted and the sequencing edit will be applied. Error text indicates why the report was rejected.

FROI and SROI UR (Update Report) example.

Apply Seq Edit? Y, N, NA	Incoming Maintenance Type Code	MTC NAME	Element Error Number (DN0116)	Suggested Error Text (DN0291) limited to 50 bytes <i>Only red text is used.</i>	Incoming Maintenance Type Code	MINIMUM SEQUENCING REQUIREMENTS
Y	UR - FROI	Update Report	063	NoLegacyRcvd-MTC URInvalid-sendDiffFROI(MTC(F18))	UR	Note: If you Trigger FROI MTC UR and there is no Legacy Claim on file (FROI R1 MTC not properly filed) then the FROI UR is rejected with error 063 with Element Error Text: NoLegacyRcvd-MTC URInvalid-sendDiffFROI(MTC(F18)). You should then file the appropriate FROI 00, 04, UI or AU.
Y	UR - SROI	Update Report	063	SROIURcannotFollowFROICheckDateCImAdmKnow(S21)	UR	Notes: SROI UR can follow an accepted FROI UR. SROI UR cannot be reported if any SROI is accepted. SROI UR can follow an accepted FROI 00, 04 or AU if the DN0041 Date Claim Administrator Had Knowledge of Injury < 20190101. If DN0041 Date Claim Administrator Had Knowledge of Injury is not < 20190101 (1/1/19 mandate date) then the SROI UR will be rejected with Error 063 and Element Error Text: SROIURcannotFollowFROICheckDateCImAdmKnow(S21)

Indiana's Accident Site County/Parish Edit and County Names

Report or MTC	DN	Data Element Name	Population Restriction	Error Message Number	Error Message Text	Element Error Text (DN0291)
FROI	0118	Accident Site County/Parish	<p>Must be valid per the IN WCB Accident Site County List</p> <p>Edit: If ACCIDENT SITE STATE CODE – DN0123 is present and = 'IN' then Must be valid per the INWCB Accident Site County List.</p> <p>If ACCIDENT SITE STATE CODE – DN0123 is present and not = 'IN' then "Out of state" or "Unknown" must be present.</p>	111	Must be valid content	Not found in the list of IN counties

Indiana Accident Site County Names

See Population Restriction Edit:

DN0118 Accident Site County/Parish Error: 111 Must be valid content ▼

Adams

Allen

Bartholomew

Benton

Blackford

Boone

Brown

Carroll

How does Indiana communicate the status of EDI reports?

Trading Partner sends
FROI Batch:

FROI	Claim#1
FROI	Claim#2
FROI	Claim#3
FROI	Claim#4



Indiana returns
Acknowledgment Batch:

AKC TA Claim#1
AKC TE Claim#2
AKC TR Claim#3
AKC TR Claim#4

Indiana returns an EDI Acknowledgment Record (AKC) for each FROI and SROI report received. The AKC communicates the status of the EDI FROI or SROI report. The status can be a TA or TR:

- **Status TA:** Indicates that the EDI report was accepted
- **Status TR:** Indicates that the EDI report was rejected. Review the reason to determine if the same FROI or SROI needs to be adjusted and resent.

What are the Filing Method Options for EDI Submissions?

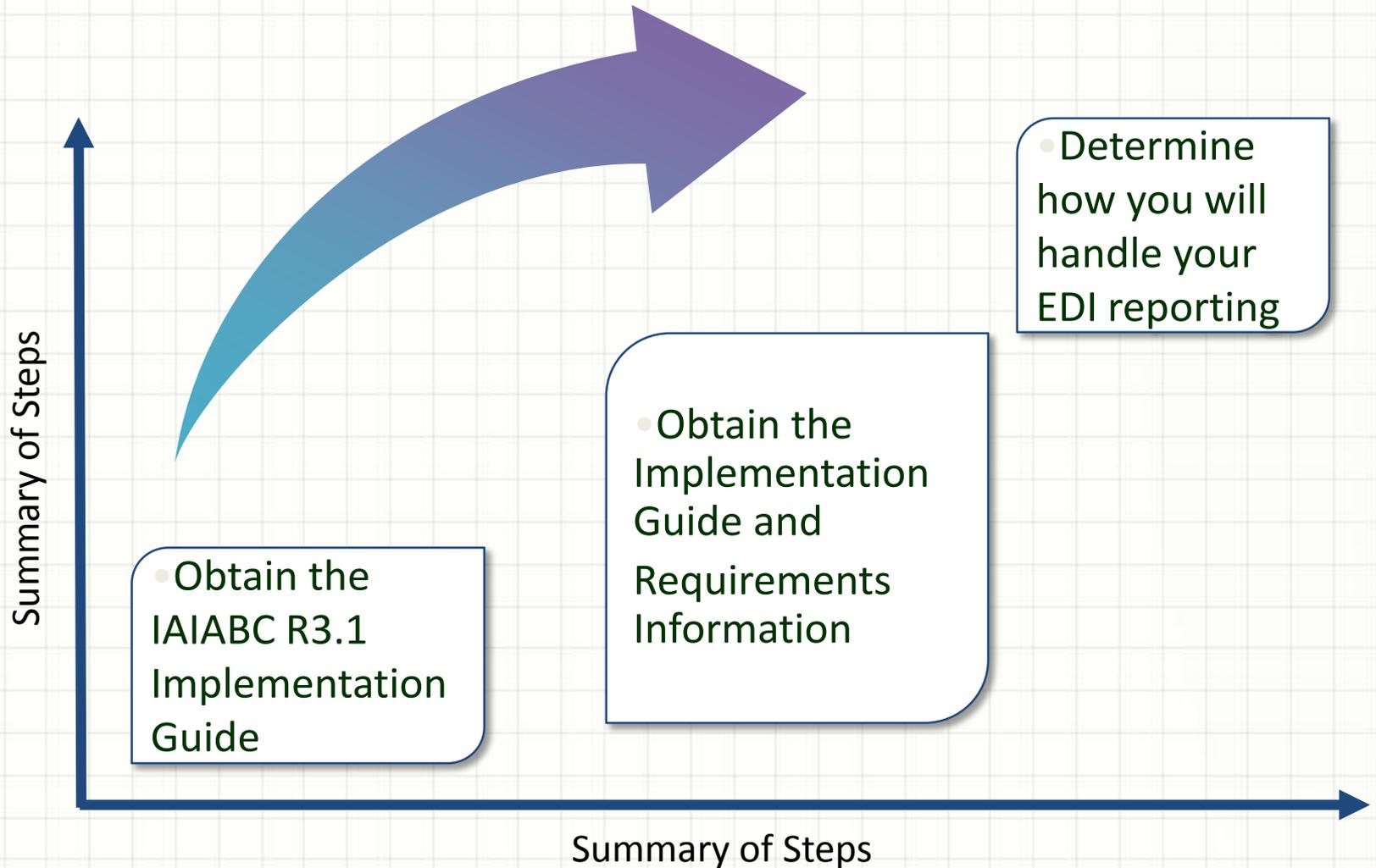


- **Direct Reporting (Secure FTP)**
 - Trading Partners and IAIABC Members with knowledge of the standards reporting EDI Claims Releases in other states
- **EDI Vendor**
 - List of vendors that have a variety of services to meet your EDI needs are listed on Indiana's website. Indiana does not endorse, nor recommend any one vendor over another.
- **Indiana – ISO's Jurisdiction Web Entry**
 - This web system is provided free, for low volume Trading Partners, by Indiana's EDI Claims Vendor - ISO

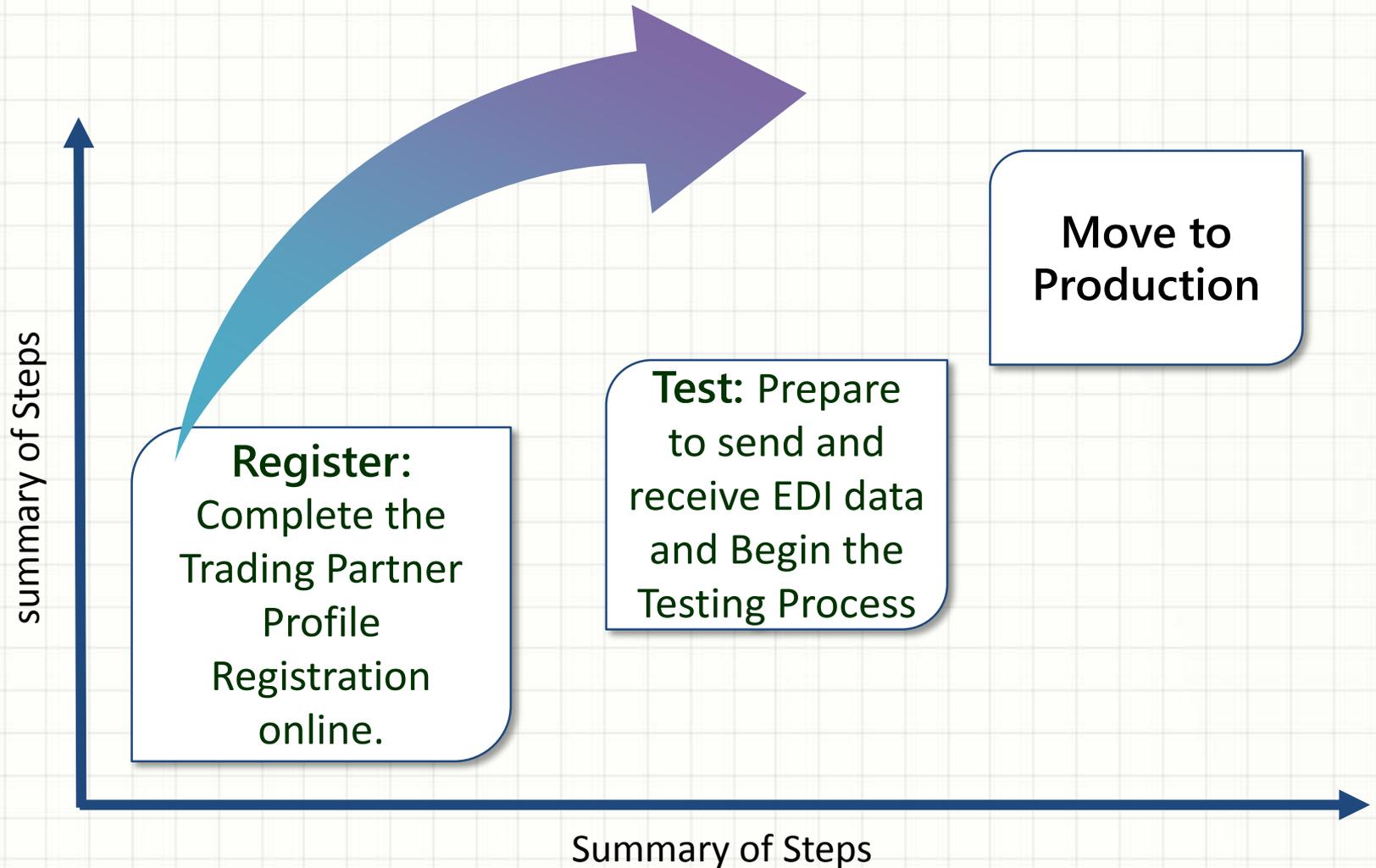


Summary of Steps to Implement EDI Claims Release 3.1 with Indiana

Summary of Steps for Implementation



Summary of Steps for Implementation



How do I get help?

All questions related to the Trading Partner Registration Process and/or General EDI Support, please contact the Indiana EDI Support Team via email at INWCBedi@iso.com.



QUESTIONS?





THANK YOU

FOR ATTENDING

**THE WORKER'S COMPENSATION BOARD OF INDIANA
(IN WCB)**

**EDI CLAIMS RELEASE 3.1
INFORMATION WEBINAR**